PRACTICES, TOOLS, AND EVIDENCE FOR IMPROVING LARGE INTRODUCTORY SCIENCE AND MATH COURSES

Kick-off Retreat: IC2 PROJECT





Noah Finkelstein Physics Department & Center for STEM Learning University of Colorado Boulder University of Colorado System noah.finkelstein@colorado.edu



PHYSICS EDUCATION RESEARCH AT CU

Faculty:

Melissa Dancy Michael Dubson Noah Finkelstein Heather Lewandowski Valerie Otero Robert Parson Kathy Perkins Steven Pollock Carl Wieman*

Teachers / Partners / Staff:

Shelly Belleau, John Blanco Kathy Dessau, Jackie Elser Robbie Martinez, Chris Malley Susan M. Nicholson-Dykstra Oliver Nix, Jon Olson Emily Quinty, Sam Reid Sara Severance, William Tarantino



Funded by:

National Science Foundation Association of American Universities Association of Public & Land-grant Univ. William and Flora Hewlett Foundation American Association of Physics Teachers Physics Teacher Education Coalition American Institute of Physics American Physical Society National Math & Science Initiative Howard Hughes Medical Institute

Postdocs/ Scientists:

Michael Bennett Stephanie Chasteen Joel Corbo Brett Fiedler Dimitri Dounas-Frazer Karina Hensberry Emily Moore Ariel Paul Ben Pollard Gina Quan Laura Rios

Grad Students:

Julian Gifford Simone Hyater-Adams Jessica Hoehn Allie Lau Enrique Suarez +recent grads (4 PhD) **+ many participating** faculty and LAs



Association Public and Land-grant Universitie





MATH + SCIENCE



Adam Blanford Adam Light Akira Miyake Alice Healy Anastasia Maines Andrea Bair Andrew Martin Angel Hoekstra Angela Bielefeldt Anne Bekoff Anne Dougherty Anne Gold Anne-Barrie Hunter Anne-Marie Hoskinson Anthony Bosman Ariel Paul Audrey Schaiberger Barbara Kraus Barry Kluger-Bell Ben Spike Ben Van Dusen **Benjamin Zwickel Bethany Wilcox** Bill Wood Brian Argrow **Brian Couch** Callie Pilzer Cathy Regan Chandra Turpen Charles Baily **Clayton Lewis** Colin Wallace

Corrie Colvin Danny Caballero David Aragon David Webb Derek Reamon **Diane Sieber Dick McCray** Don Cooper Donna Coccamise

Janet Tsai Jean Hertzberg Daria Kotys-Schwartz Jeffrey Shainline Jenn Paul Glaser Jennifer Stempien Jenny Knight Jerry Rudy Jessica Gorski Jia Shi Jim Currv

Leilani Arthurs Lindsay Anderson Lorrie Shepard Louisa Harris Maegan Gilmour Margaret Asirvatham Marie Boyko Marina Cogan Marina Kogan Marina LaGrave



Center for STEM Learning

UNIVERSITY OF COLORADO BOULDER

Gene Glass George Ortiz Heather Lewandowski Kelly Battin Hilarie Nickerson Hunter Close Ian Caldwell Ian Her Many Horses Ingrid Ulbrich Jana Watson-Capps Jane Meyers Jane Stout Janet Casagrand

Katie Hinko Katie Southard Kelly Lancaster Kevin McElhaney Kim Trenbath Krista Marshall Laird Kramer LaRuth McAfee Laura Border Lauren Kost-Smith Laurie Langdon

IVIIKE HOSS Miranda Rieter Nancy Guild Nathan Canney Noah Finkelstein Noah Podolefsky Okhee Lee **PJ Bennett Rachel Pepper** Rob Tubbs **Robert Parson** Robynn Lock

Roger Larson Ryan Grover Sam Reid Sandra Laurson Sara Brownell Sarah Wise Scott Franklin Seth Hornstein Seyitriza Tigrek Stacey Forsyth Stephanie Chasteen Stephanie Mollborn Stephanie Rivale Stephen Butler Steve Iona Steve Pollock Susan Hendrickson Teresa Folev Tiffany Ito Travis Lund Trish Loeblein Tyler Schelpat Ulaff (Benjamin Uma Swamy Valerie Otero Valerie Williams Victoria Hand Virginia Ferguson Wahab Baouchi

а



Why Education?

Individual Empowerment

Societal Empowerment

Workforce / Economic Development







Education is by far the biggest and the most hopeful of the Nation's enterprises. Long ago our people recognized that education for all is not only democracy's obligation but its necessity. Education is the foundation of democratic liberties. Without an educated citizenry alert to preserve and extend freedom, it would not long endure.

> President Truman's Commission on
> Higher Education for Democracy (1947) [with the advent of the Cold War]

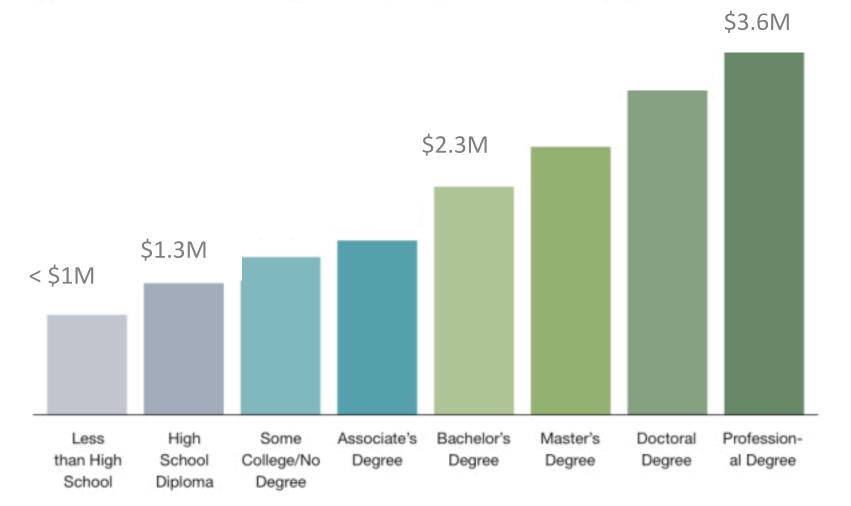
> > Inspired by NSB, Sense of Board, 2016

a changing landscape

we know more than ever about Education

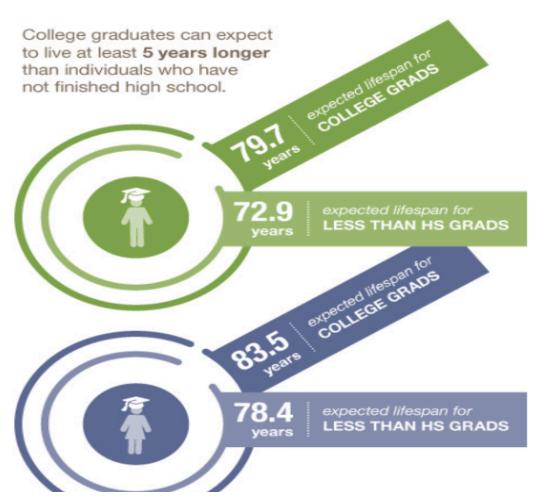
educational value

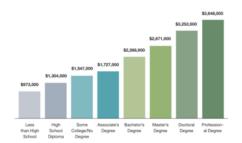
• More income



Center for Workforce & Education, 2011

- More income
- A longer life

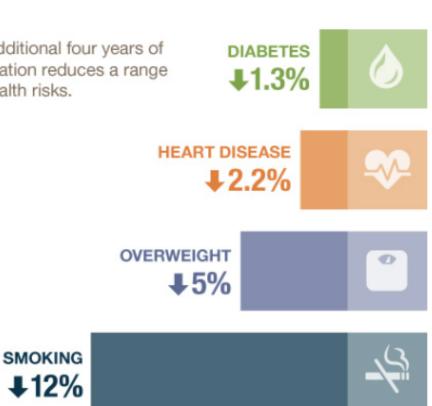


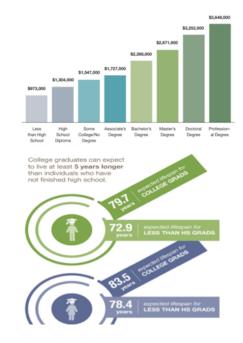


RW Johnson Foundation, 2012

- More income
- A longer life
- A healthier life

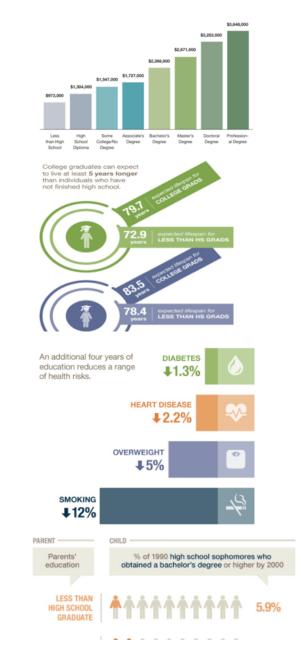
An additional four years of education reduces a range of health risks.





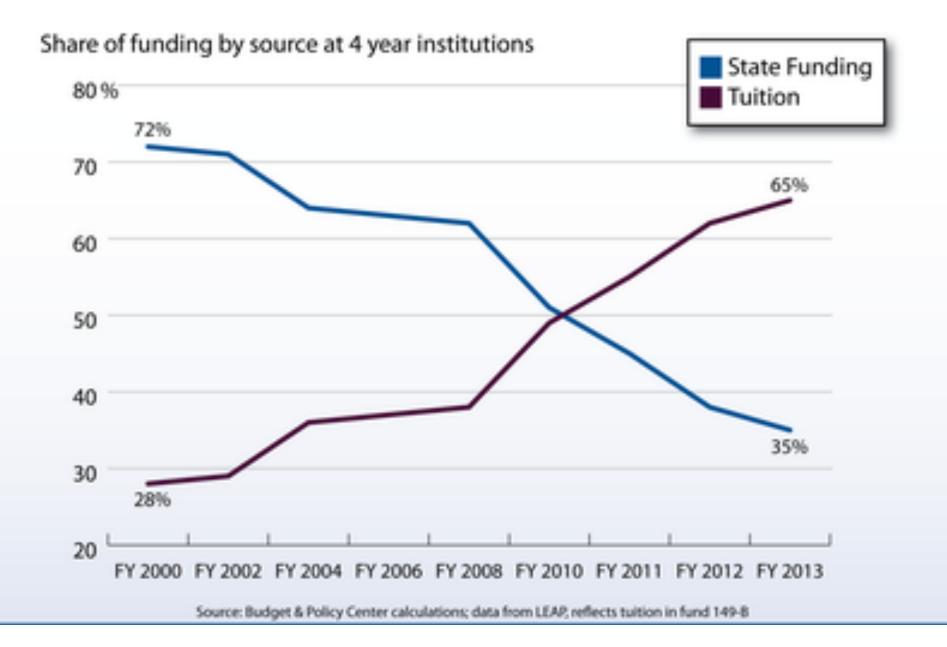
RW Johnson Foundation, 2012

- More income
- A longer life
- A healthier life
- More socially engaged
- Better life for kids



funding

State Funding vs. Tuition



public will

Don't Buy The Hype, College Education Is Not An Investment

+ Comment Now + Follow Comments

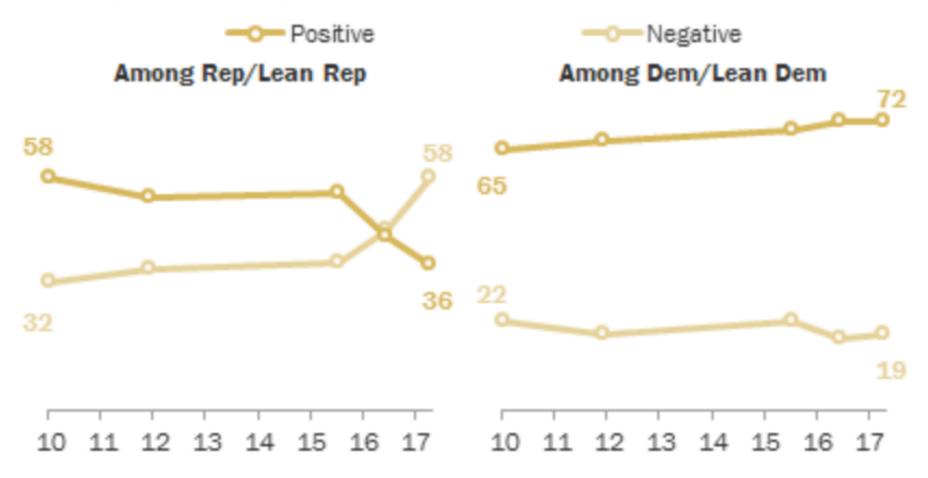
By George Leef

Hardly a day goes by without the publication of articles on the plight of recent college graduates. Large numbers are either unemployed or employed in jobs that don't call for any academic preparation. Many are struggling with the burden of their college loans.

In



% who say **colleges and universities** have a _____ effect on the way things are going in the country

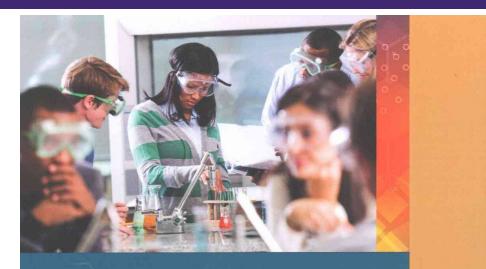


Note: Don't know responses not shown. Source: Survey conducted June 8-18, 2017.

education for whom?

The National Academies of SCIENCES • ENGINEERING • MEDICINE

BOARD ON SCIENCE EDUCATION



Barriers and Opportunities for 2-Year and 4-Year STEM Degrees

SYSTEMIC CHANGE TO SUPPORT STUDENTS' DIVERSE PATHWAYS

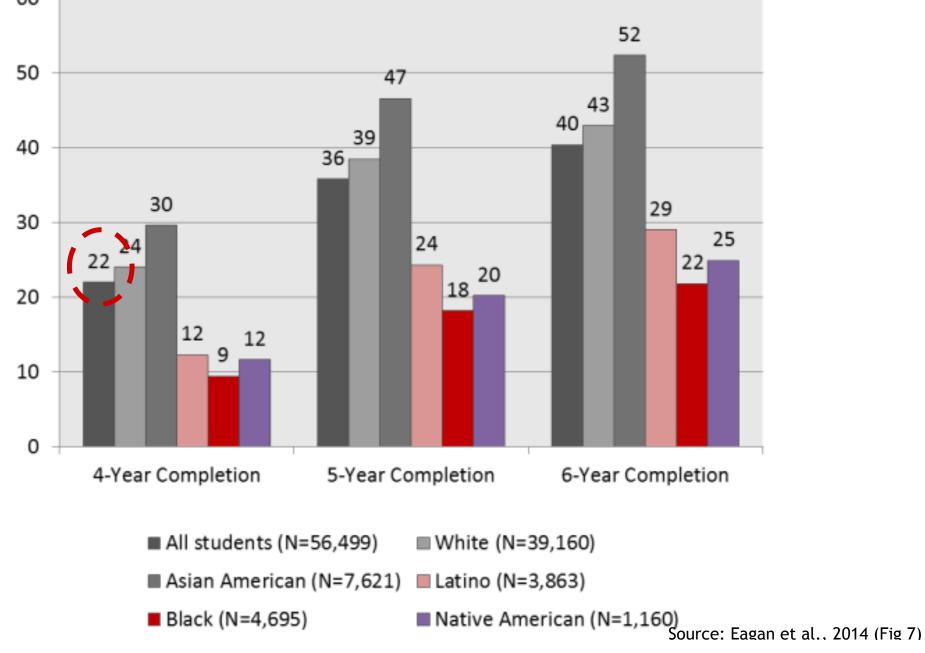
with funding from: National Science Foundation S.D. Bechtel Jr. Foundation Alfred P. Sloan Foundation

Make-up of student body not the same as 25 years ago

Student Characteristics	1987	2012
Aged 25 and Older	37	40
Enrolled in 2-Year Institutions	43	40
Enrolled Part Time	42	50
Minority	20	42
Employed Part-Time	*	40
Employed Full-Time	26	27
Parents	20	26
Single Parent	7	15
Women	54	57

Students more likely to be from minority groups and be single parents.

Cumulative percentage of 2004 STEM aspirants who completed STEM degrees in 4, 5, and 6 years



new models

New Tools



Massive Open Online Course



Established Objectives

AAC&U Member Institutions' Learning Outcomes for All Students

Knowledge of Human Cultures and the Physical and Natural World

	Humanities	92%
	Sciences	91%
	Social Sciences	90%
	Global/World Cultures	87%
	Mathematics	87%
	Diversity in the United States	73%
	United States History	49%
	Languages Other Than English	42%
	• Sustainability	24%
*	Intellectual and Practical Skills	
	Writing skills	99%
	Critical Thinking	95%
	Quantitative Reasoning	91%
	Oral Communication	88%
	Intercultural Skills	79%*
	Information Literacy	76%
	Research skills	65%
*	Personal and Social Responsibility	
	Intercultural Skills	79%*
	Ethical Reasoning	75%
	Civic Engagement	68%
*	Integrative Learning	and the second sec
	Application of Learning	66%
	Integration of Learning	63%
	and the second	

Employer Priorities for Most Important College Learning Outcomes

Knowledge of Human Cultures and the Physical and Natural World

Broad knowledge in the liberal arts and sciences	78% 🔳
Knowledge and understanding of democratic institutions and values	
Intercultural skills and understanding of societies and cultures outside the US	78% 🔳

Intellectual and Practical Skills

Oral communication	85% 🔶
 Teamwork skills in diverse groups 	83% 🔶
Written communication	82% 🔶
 Critical thinking and analytic reasoning 	81% 🔶
Complex problem solving	70% 🔶
Information literacy	68% 🔶
 Innovation and creativity 	65% 🔶
Technological skills	60% 🔶
Quantitative reasoning	56% 🔶

Personal and Social Responsibility

Problem solving in diverse settings	96% 🔳
Civic knowledge, skills, and judgment essential for contributing	
to the community and to our democratic society	86% 🔳
Ethical judgment and decision making	81% 🔶

Integrative and Applied Learning

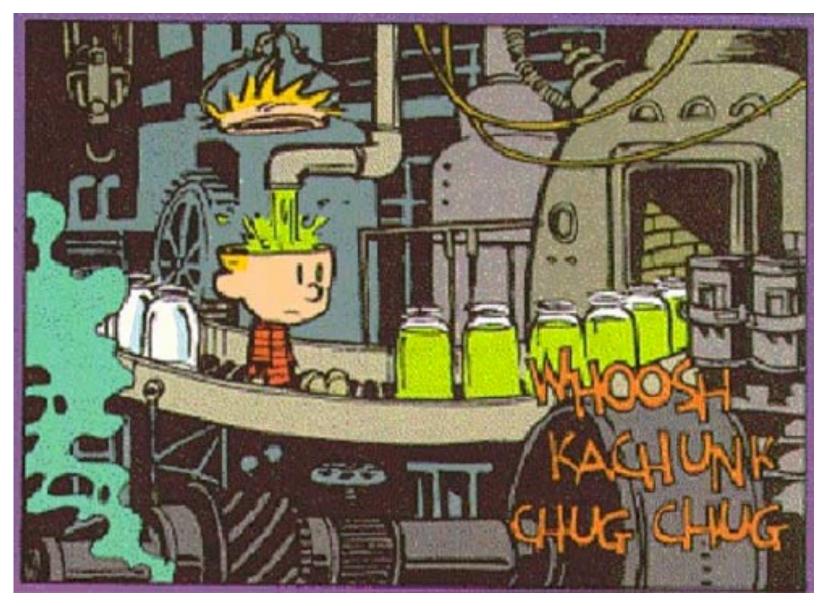
· Applied knowledge in real-world settings

80% \$

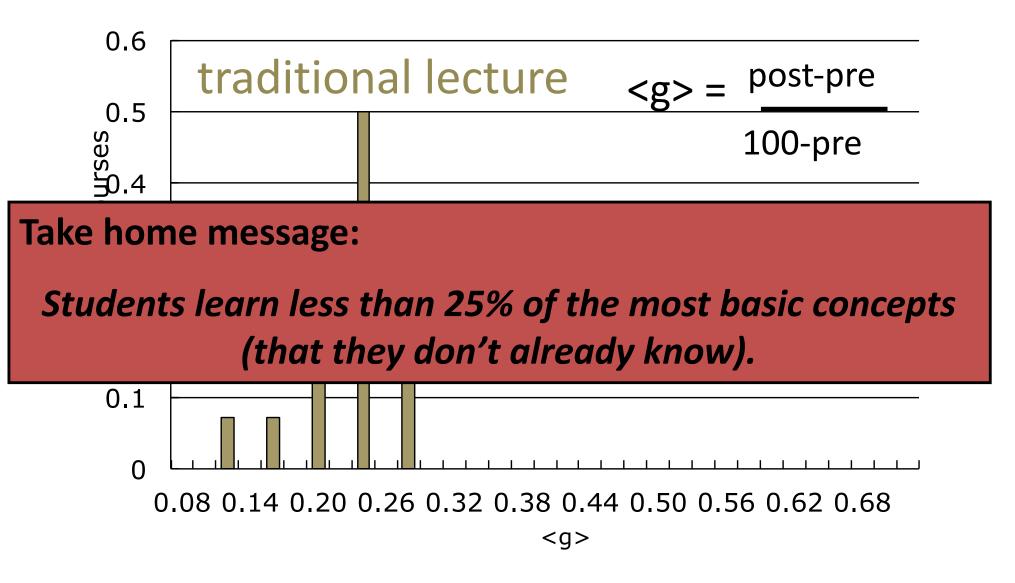
AAC&U 2009 and 2015

(re-) defining education

Prevalent but flawed models...



We are not teaching students



R. Hake, "...A six-thousand-student survey..." AJP 66, 64-74 ('98).

Attitudes and Beliefs*

Assessing the "hidden curriculum" - beliefs about physics and learning physics

Examples:

- "I study physics to learn knowledge that will be useful in life."
- "To learn physics, I only need to memorize solutions to sample problems"

*Adams et al, (2006). Physical Review: Spec. Topics: PER, 0201010

Attitudes and Beliefs* CLASS categories Shift (%) ("reformed" class)

(All ±2%)

Real world connect	-6
Personal interest	-8
Sense making/effort	-12
Conceptual	-11
Math understanding	-10
Problem Solving	-7
Confidence	-17
Nature of science	+5

Education?

bringing new members into a community ways of walking, talking and acting like a ...

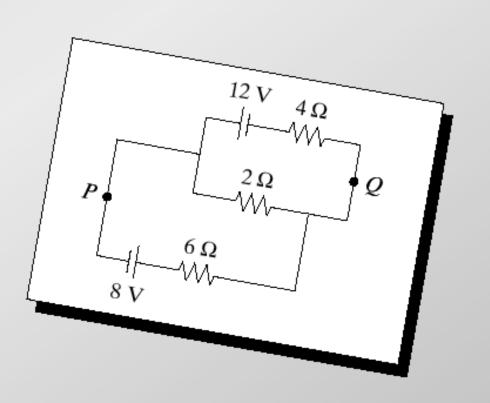
Not: simply the transfer of information

But my students learn . . .

Calculate:

(a) current in 2- Ω resistor

(b) ...

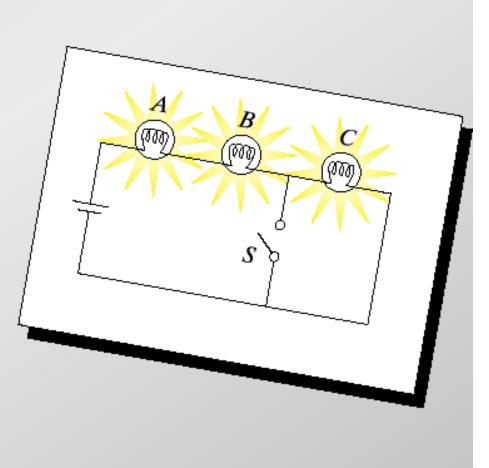


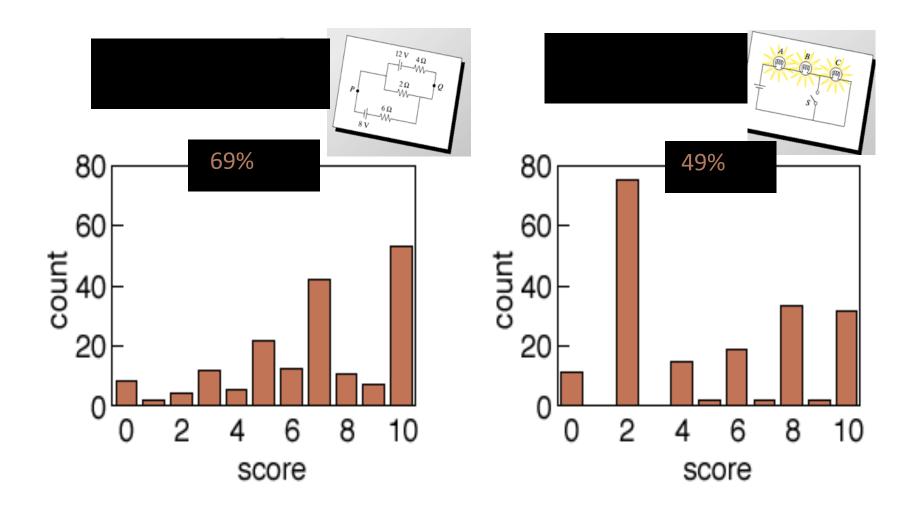
Mazur (1997; 2004)

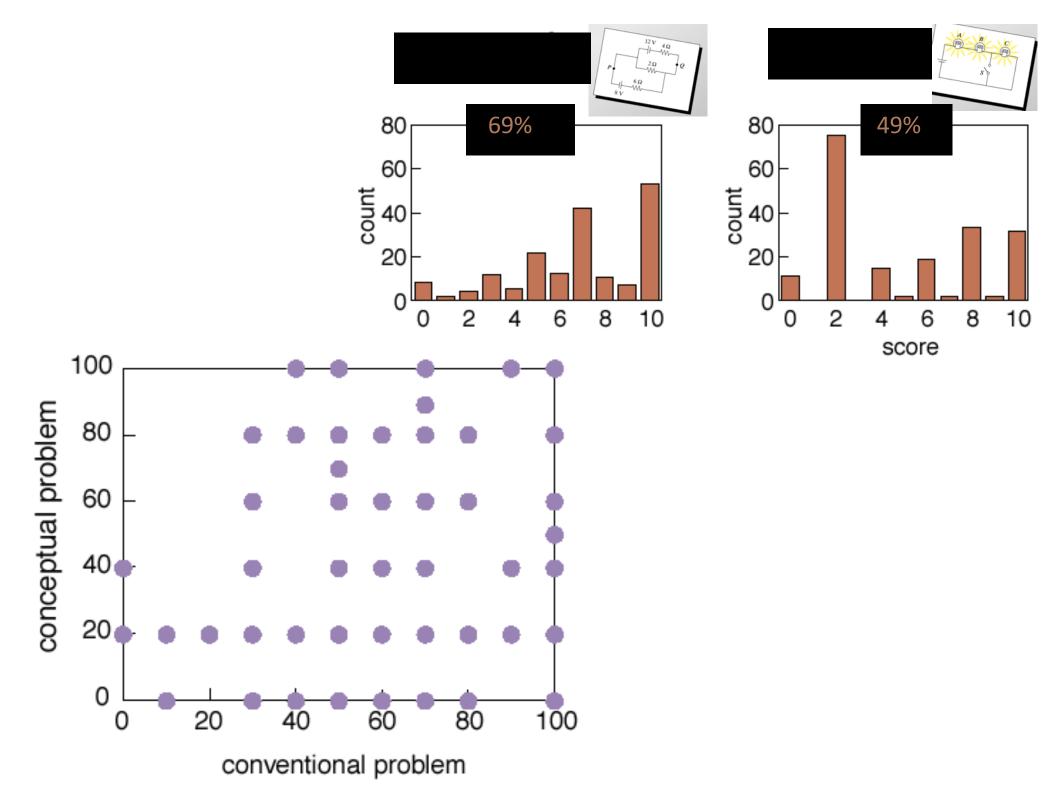
When S is closed, what happens to:

(a) intensities of A and B?

(b) ...



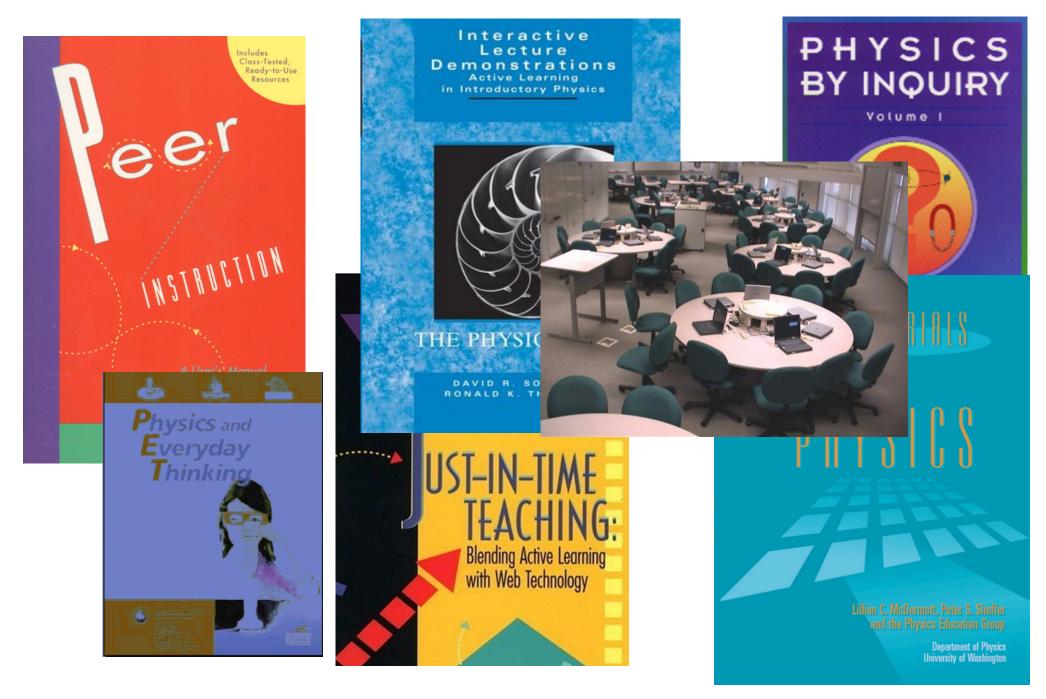






Teach by actively engaging students... based on what they know . . .

Many (research-validated) innovations



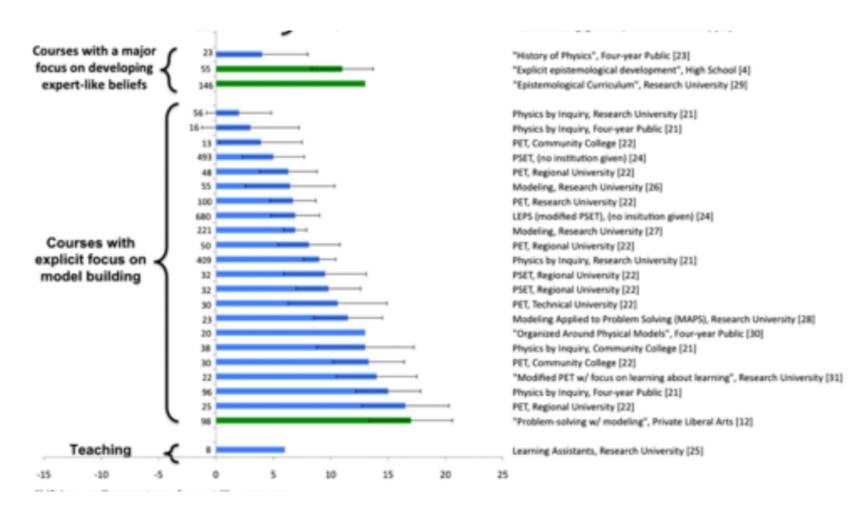
Active learning increases student performance in science, engineering, and mathematics

Scott Freeman^{a,1}, Sarah L. Eddy^a, Miles McDonough^a, Michelle K. Smith^b, Nnadozie Okoroafor^a, Hannah Jordt^a, and Mary Pat Wenderoth^a

Meta-analysis of 225 studies Mean effect size 0.5 std. dev.

"If the experiments analyzed here had been conducted as randomized controlled trials of medical interventions, they may have been stopped for benefit"

Beyond Concepts . . .

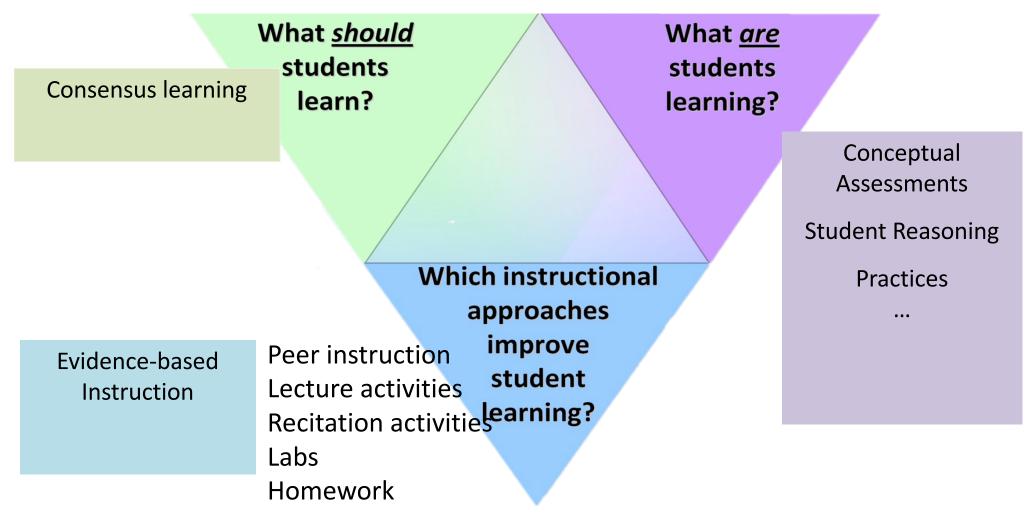


How physics instruction impacts students' beliefs about learning physics: A meta-analysis of 24 studies

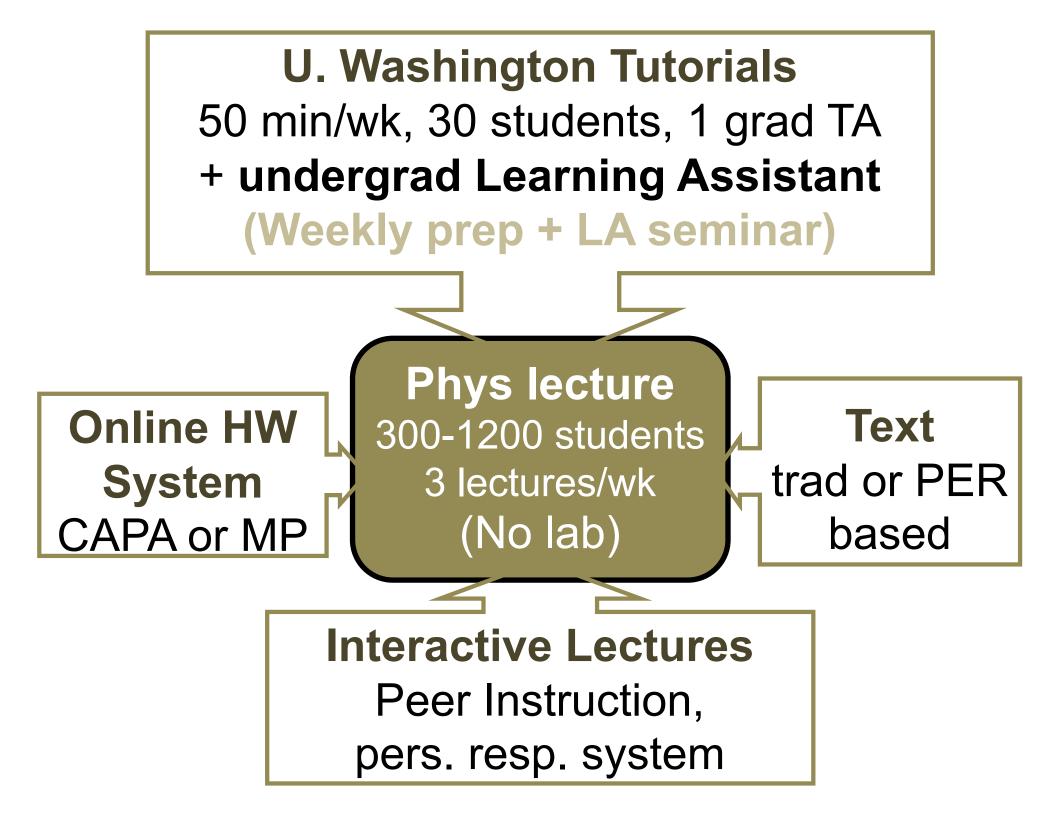
Adrian Madsen, Sarah B. McKagan, and Eleanor C. Sayre Phys. Rev. ST Phys. Educ. Res. **11**, 010115 – Published 2 June 2015

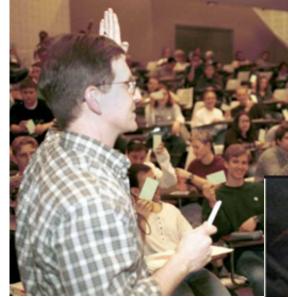
modest reframing of class tools and context

Course transformation using Backwards Design



SEI, C. Wieman et al, www.colorado.edu/sei



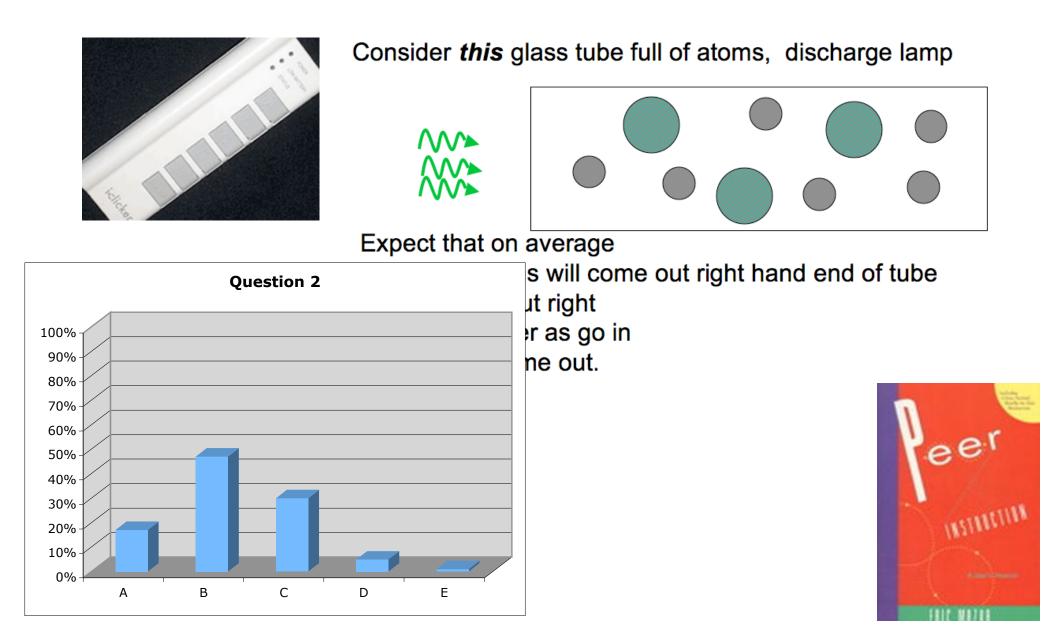








Personal Response System



Clicker Questions

 Allowed students to discuss & debate challenging, high-level ideas



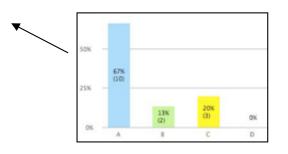
An ideal (large) capacitor has charge Q. A neutral *linear* dielectric is inserted into the gap (with given dielectric constant)

Where is D discontinuous?

- +Q
- i) near the free charges on the plates
- ii) near the bound charges on the dielectric surface

-Q

A) i only B) ii only C) i and ii ONLY D) i and ii but also other places E) none of these/other



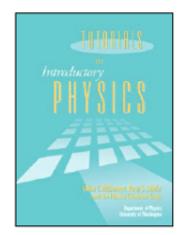
Freely Available Resources:

- Banks of Clicker Questions
 Upper-level courses
 Intro-level too
- Clicker Video Guides for Teachers

Tutorials in Introductory Physics

Reconceptualize Recitation Sections

- Materials
- Classroom format / interaction
- Instructional Role



Proven Curricula

- D.E. Trowbridge and L. C. McDermott, "Investigation of student understanding of the concept of acceleration in one dimension," *Am. J. Phys.* **49** (3), 242 (1981).
- D.E. Trowbridge and L. C. McDermott, "Investigation of student understanding of the concept of velocity in one dimension," Am. J. Phys. 48 (12), 1020 (1980)
- R.A. Lawson and L.C. McDermott, "Student understanding of the work-energy and impulsemomentum theorems," *Am. J. Phys.* 55 (9), 811 (1987)
- L.C. McDermott and P.S. Shaffer, "Research as a guide for curriculum development: An example from introductory electricity, Part I: Investigation of student understanding." *Am. J. Phys.* 60 (11), 994 (1992); Erratum to Part I, *Am. J.* Phys. 61 (1), 81 (1993).
- P.S. Shaffer and L.C. McDermott, "Research as a guide for curriculum development: An example from introductory electricity, Part II: Design of instructional strategies." Am. J. Phys. 60 (11), 1003 (1992)
- L.C.McDermott, P.S. Shaffer and M. Somers, "Research as a guide for curriculum development: An illustration in the context of the Atwood's machine," Am. J. Phys.62 (1) 46-55 (1994).

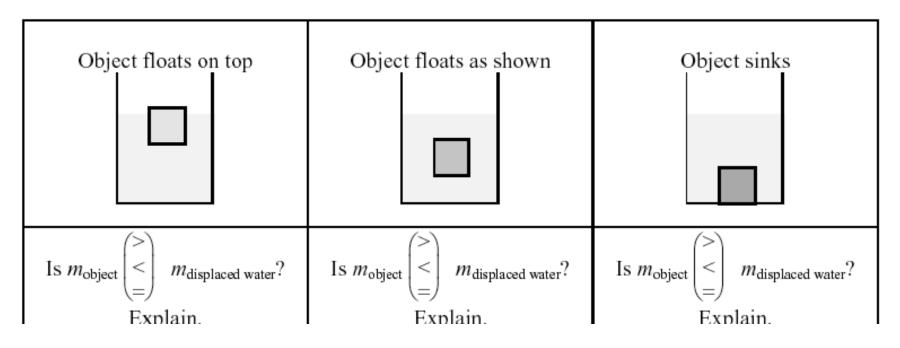
More: see <u>http://www.phys.washington.edu/groups/peg/pubsa.html</u>

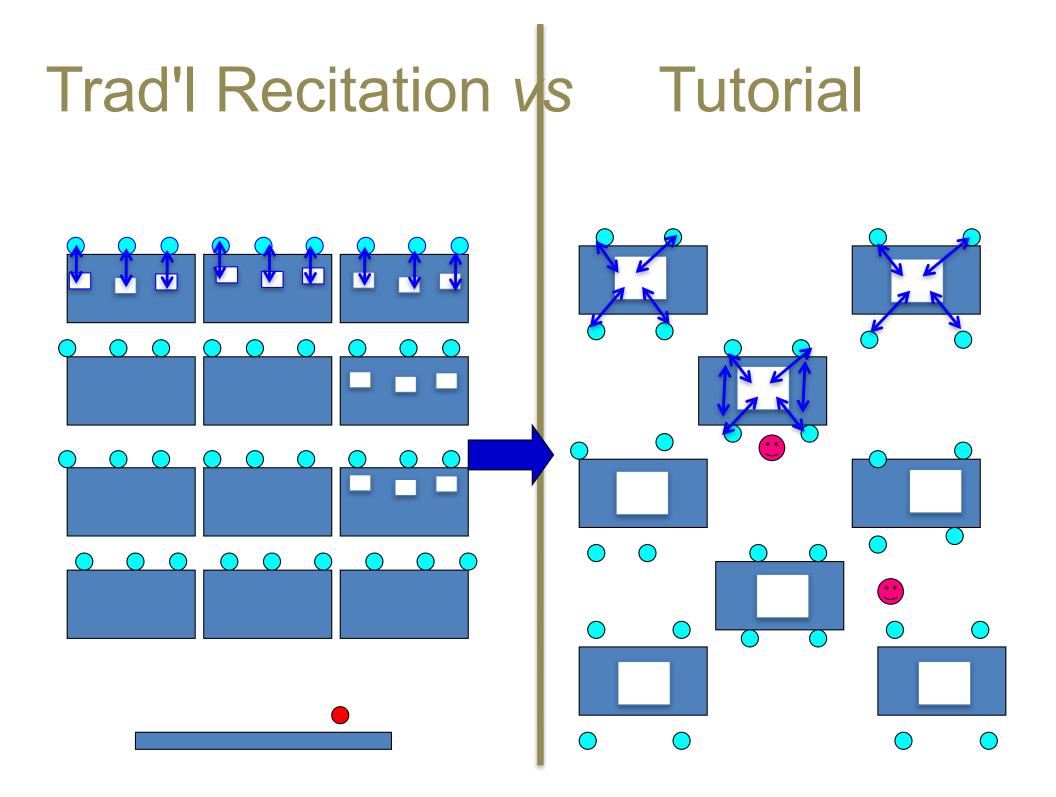
Tutorial Materials

Hands-on, Inquiry-based, Guided, Research-based

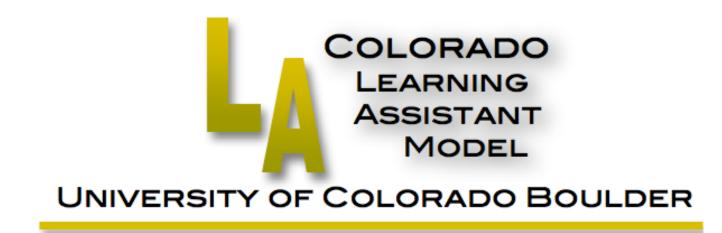
Assignment 11M:	Name
Buoyancy	Tutorial section

- 1. Three objects are at rest in three beakers of water as shown.
 - Compare the mass, volume, and density of the objects to the mass, volume, and density of the displaced water. Explain your reasoning in each case.





Experiential Learning Model for STEM Education, Faculty Development, and Teacher Preparation



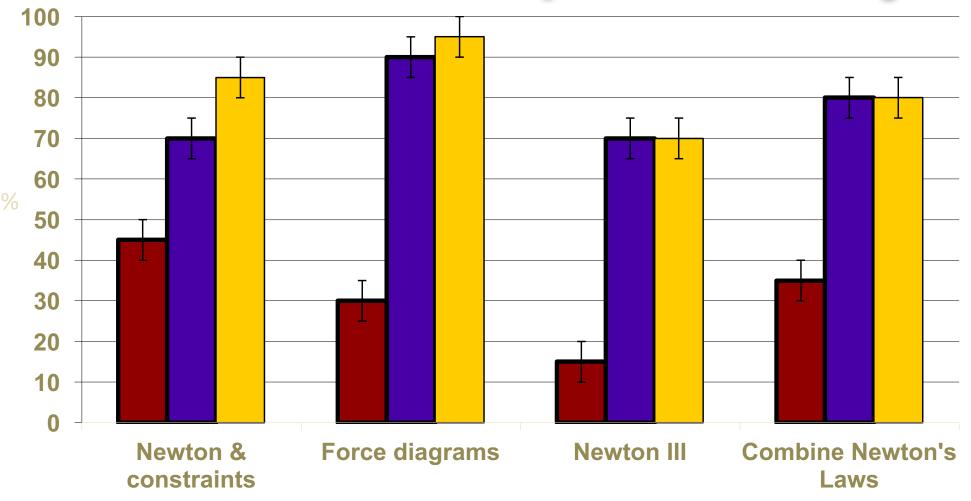
Valerie Otero

V. Otero, N.D. Finkelstein, S.J. Pollock and R. McCray (2006). Science, 313, 445

Courses Transformed using Learning Assistants (LAs)



Impact and Reproducibility



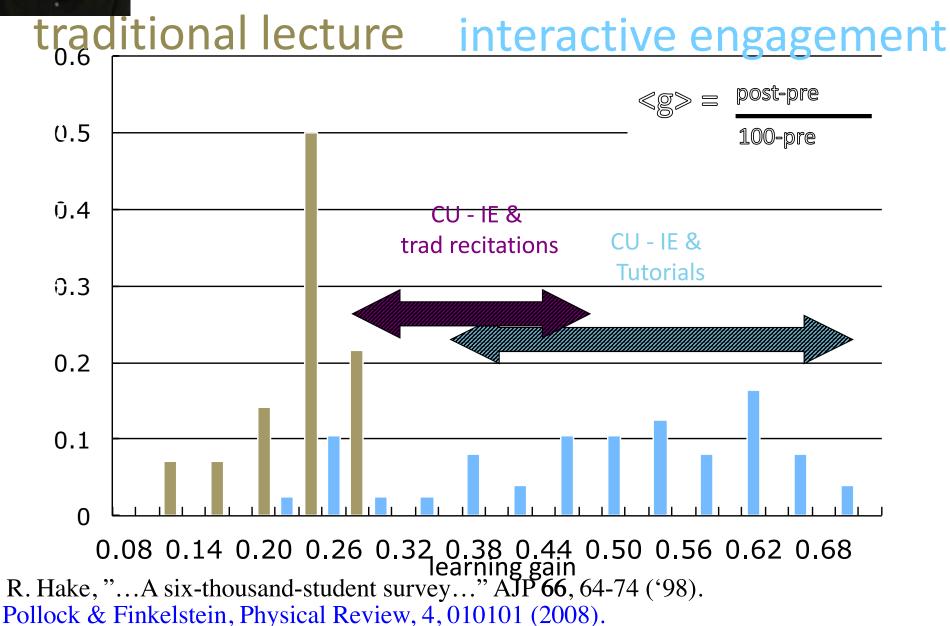
UW - No Tu

Trowbridge and McDermott," Am. J. Phys. 49 (3), 242 (1981).

Finkelstein and Pollock, (2005). Phys Rev ST PER, 1,1.010101

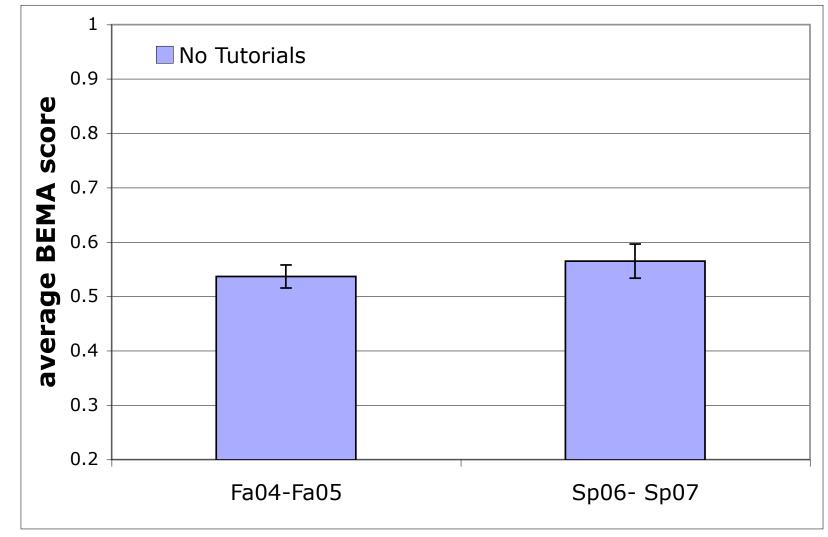


Engagement in Learning



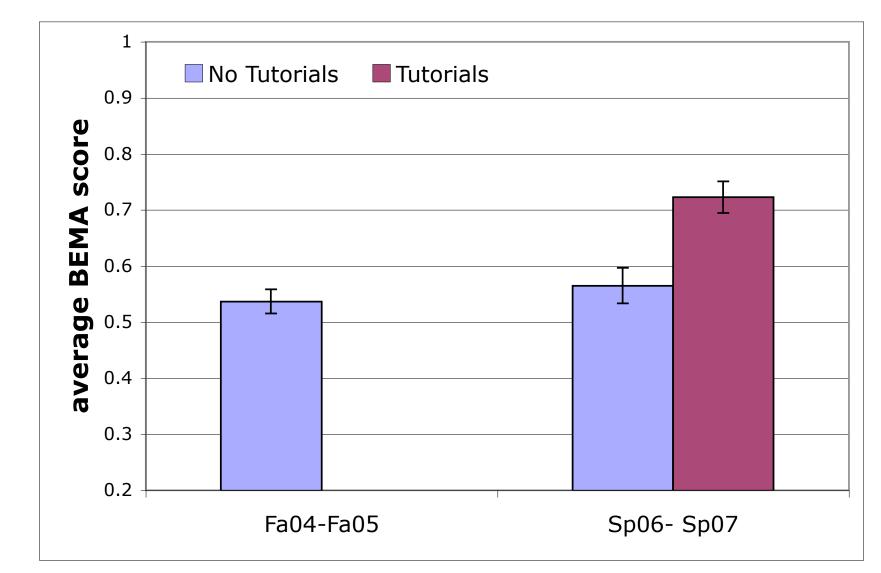
Lasting Impacts Longitudinal Studies

How Junior level E&M fair on BEMA?



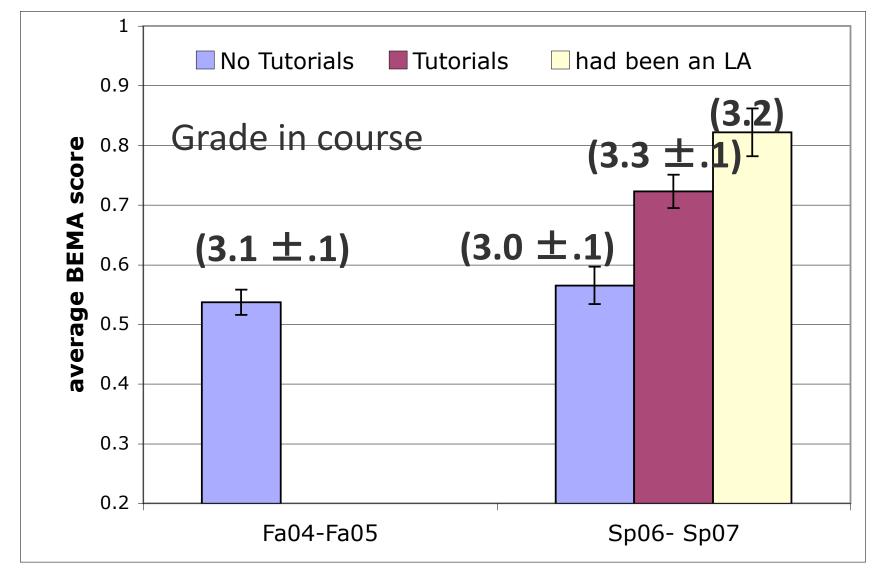
After completing Jr Level E/M (3310 or 3320) Only students who took Phys 2 (1120) *without* Tutorials

Impact of Tutorials



Red bins: students who had taken Freshman physics (1120) *with* Tutorials (~2 years prior)

Impact of LA experience



Beige: students who had been 1120 LAs

Middle & Upper Division

S. Pollock

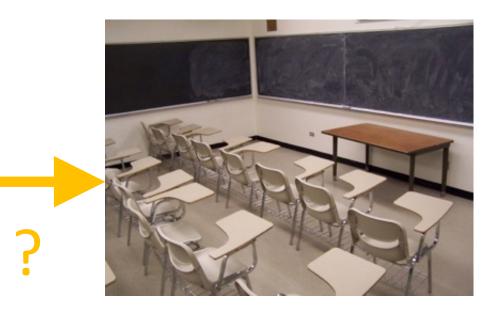
S. Chasteen, N. Finkelstein, R. Pepper, K. Perkins D. Caballero, C. Baily, B. Wilcox

H. Lewandowski

B. Zwickl, T. Hirokawa, N. Finkelstien

Why transform upper division?





Lecture with clickers



Can our majors learn better from interactive techniques adapted from introductory physics?

Washington Tutorials

Upper-div Clickers at CU

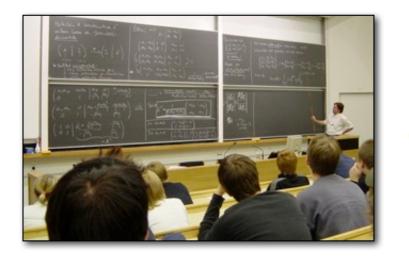
	04		05		06		07		08		09		10		11	
Term	S	F	S	F	S	F	S	F	S	F	S	F	S	F	S	F
Mech Math I							•		•					\star		~
Mech MathII								~			~		~			~
EMI										*		~	~		~	~
EM II											V	~	V			~
QM I										~	~	~	~	~	~	~
QM II										\bigstar	*		~			~
Stat Mech	*		~			~	~			~	~	~	~	~	~	
Solid State									~		~		~		~	
Plasma																
Nuclear/HE																



Case study: E&M I

- Interactive classroom
- Concept Tests
- Modified Homework
- Help Sessions
- Weekly Tutorials

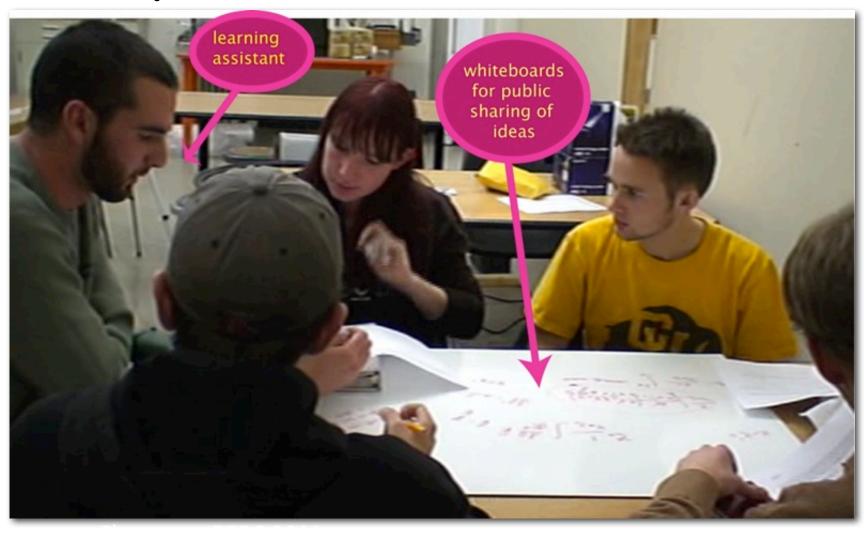
- Institutional support
 - SEI postdoc involvement
 - Learning Assistant
- Faculty collaboration
- Explicit learning goals





Tutorials

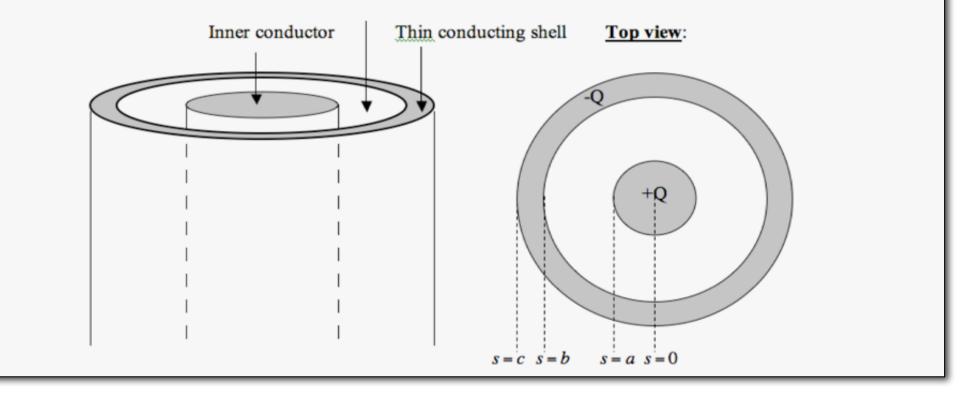
Optional, weekly. 50% attendance. Test-bed - chance to do demos.



Tutorials

Part 1 - Conceptually Understanding Conductors

A coax cable is essentially one long conducting cylinder surrounded by a conducting cylindrical shell. Draw the charge distribution (little + and - signs) if the inner conductor has a total charge +Q on it, and the outer conductor has a total charge -Q. Be precise about exactly where the charge will be on these conductors, and how you know.



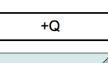
Clicker Questions

 Allowed students to discuss & debate challenging, high-level ideas



An ideal (large) capacitor has charge Q. A neutral *linear* dielectric is inserted into the gap (with given dielectric constant)

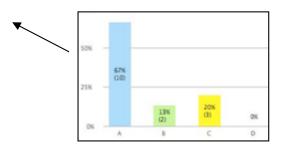
Where is D discontinuous?



- i) near the free charges on the plates
- ii) near the bound charges on the dielectric surface

-Q

A) i only B) ii only C) i and ii ONLY D) i and ii but also other places E) none of these/other



Freely Available Resources:

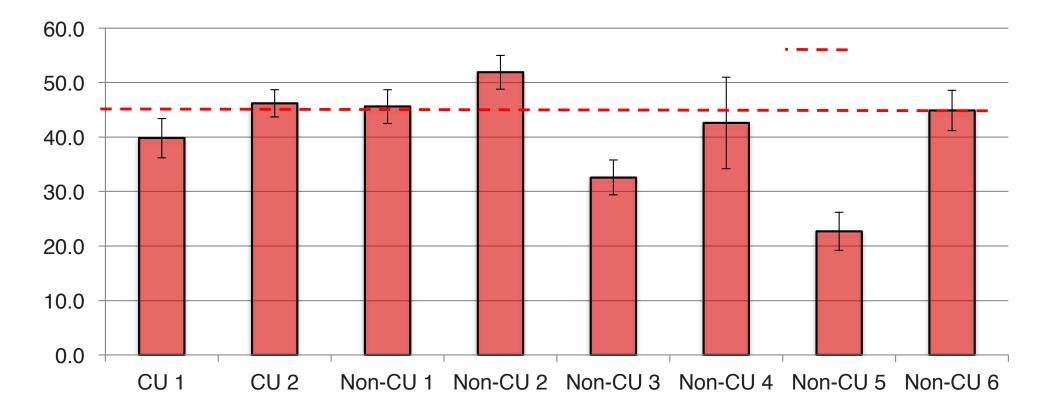
- Banks of Clicker Questions
 Upper-level courses
 Intro-level too
- Clicker Video Guides for Teachers

Did it Work? Assessments

- Compared Traditional (8 courses) & Transformed (8 courses) at CU and elsewhere (N=493).
- Common traditional exam questions (5)
- Developed Colorado Upper-Division Electrostatics Assessment (CUE)
 - Faculty-driven & Research-validated
 - High internal statistical consistency
 - High inter-rater reliability

Chasteen et al, JCST 40 (2011) p. 70; Wilcox et al Phys Rev (2016)

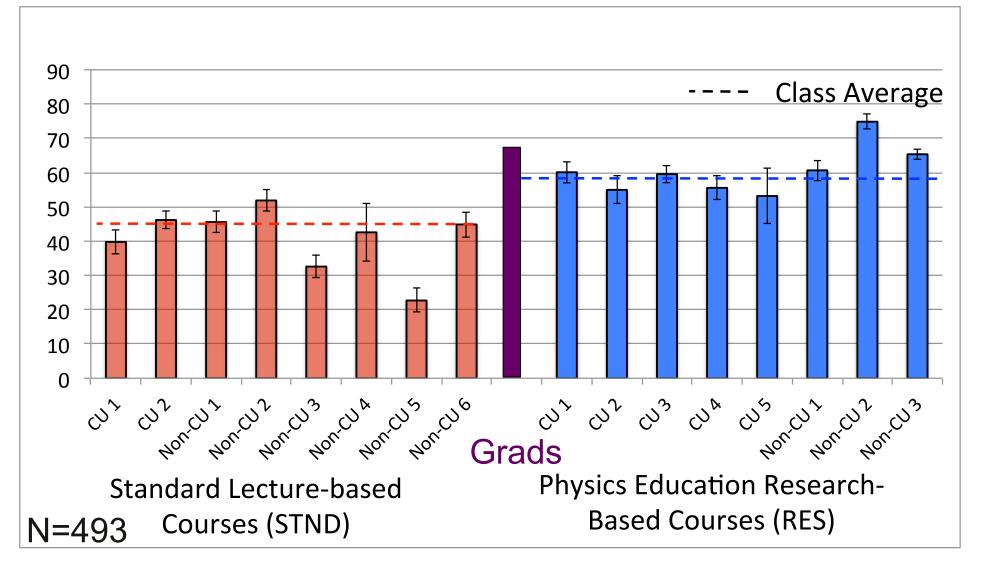
CUE results: Trad courses



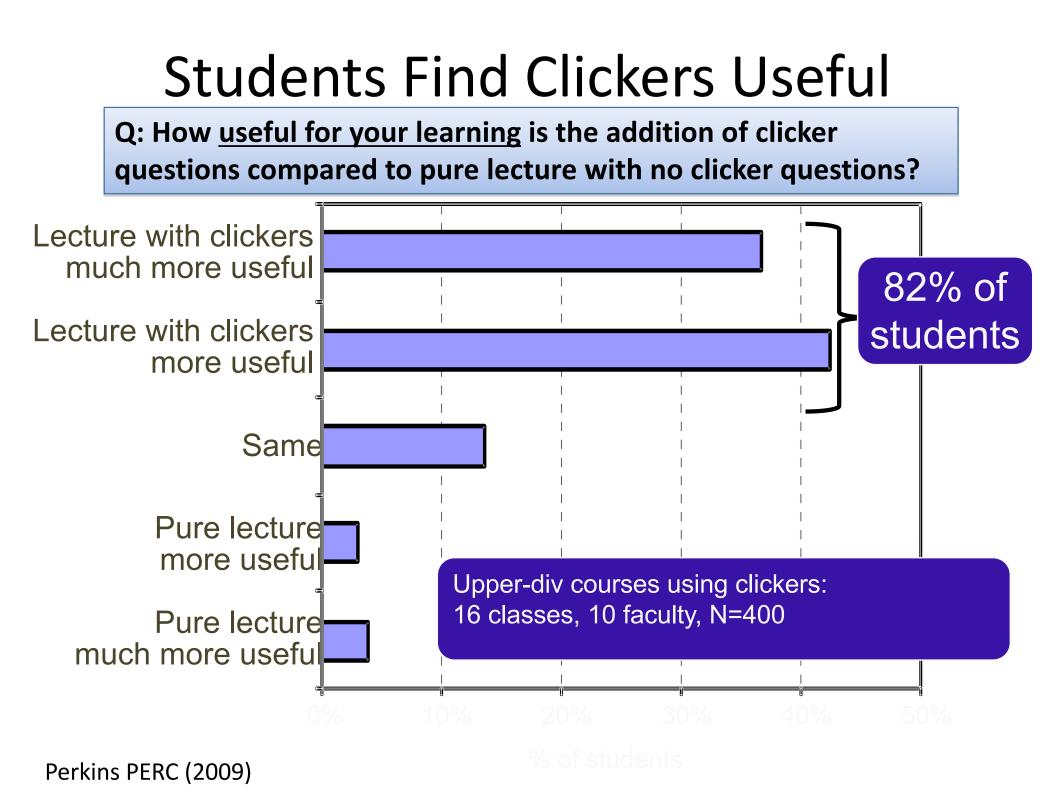
Standard Lecture-based Courses (STND)

Chasteen et al, PERC 2011, AJP (2012)

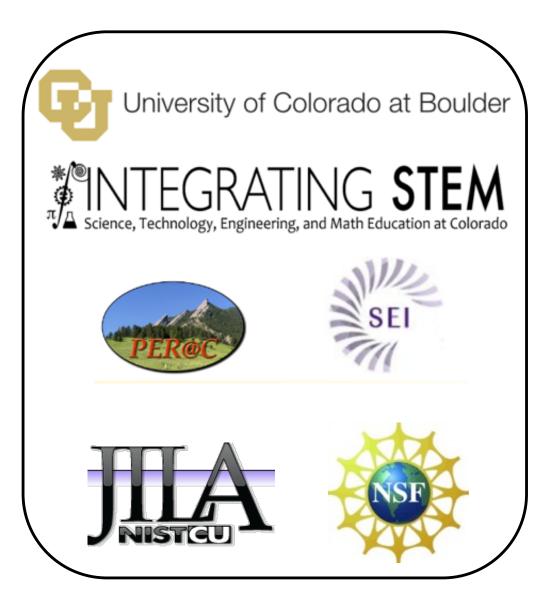
CUE Results: Comparison



Chasteen et al, PERC 2011, AJP (2012)



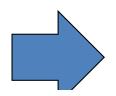
Using a Research-based Approach to Transform Upper-division Laboratory Courses



Heather Lewandowski

Takako Hirokawa Noah Finkelstein Ben Zwickl

Physics Department JILA University of Colorado



New Directions in Physics Education Research



Introductory Upper-division

"Across the disciplines in this study, the role of the laboratory class is poorly understood."



DISCIPLINE-BASED EDUCATION RESEARCH

Understanding and Improving Learning in Undergraduate Science and Engineering

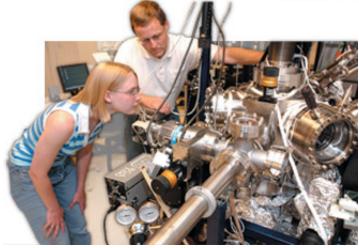


NRC Report on Discipline-Based Education Research (2012)

A broad goal for labs

To prepare students for *participation in undergraduate research*, *graduate school*, *and research careers*.



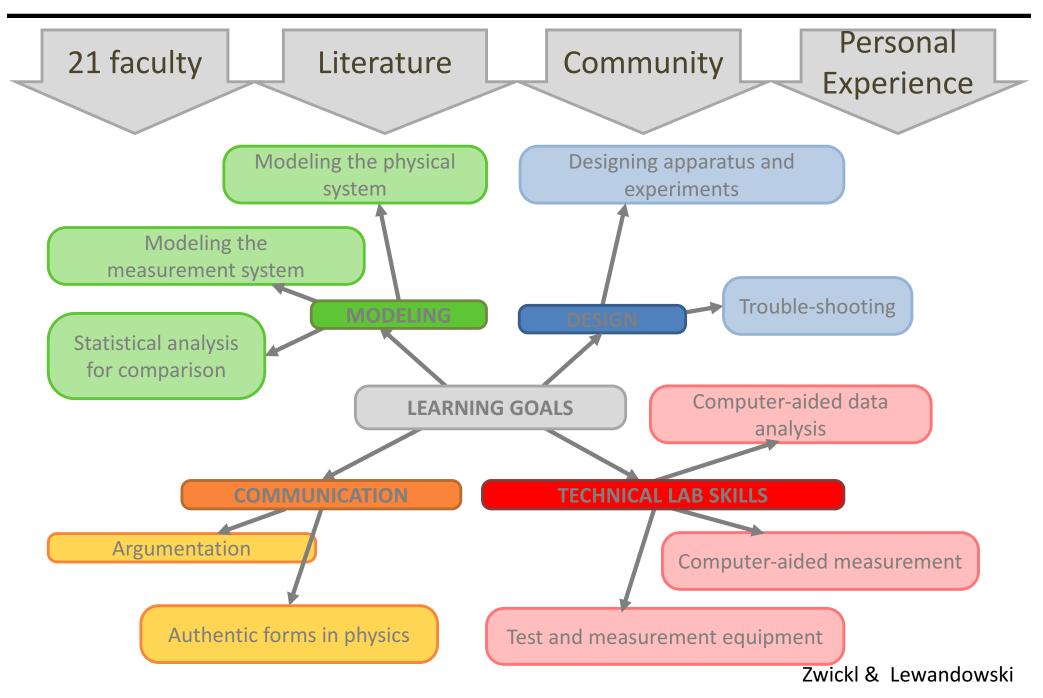


Undergraduate researcher at University of Wisconsin Eau Claire

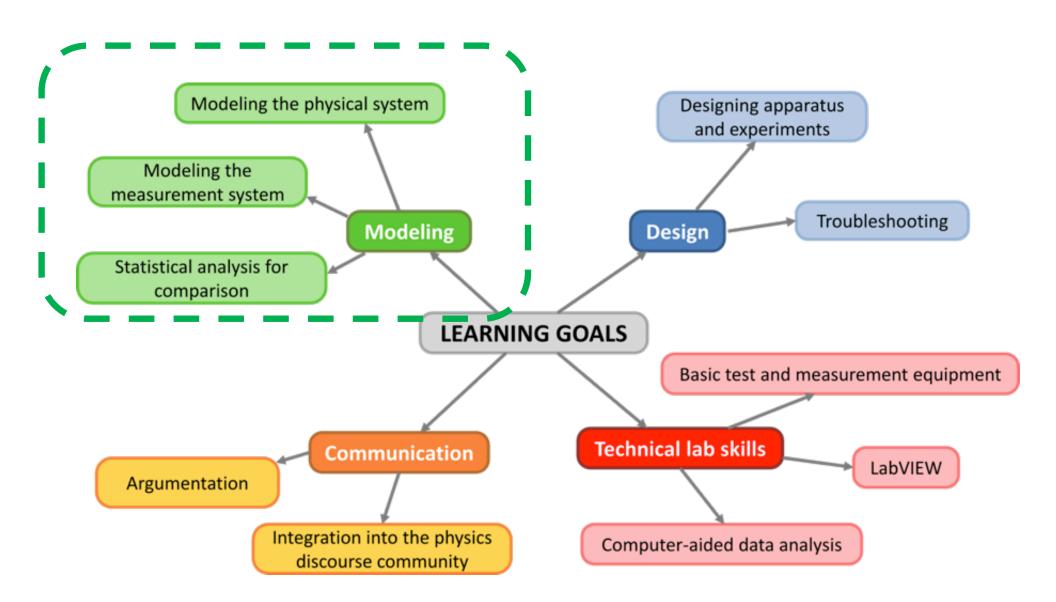
Researchers

Students

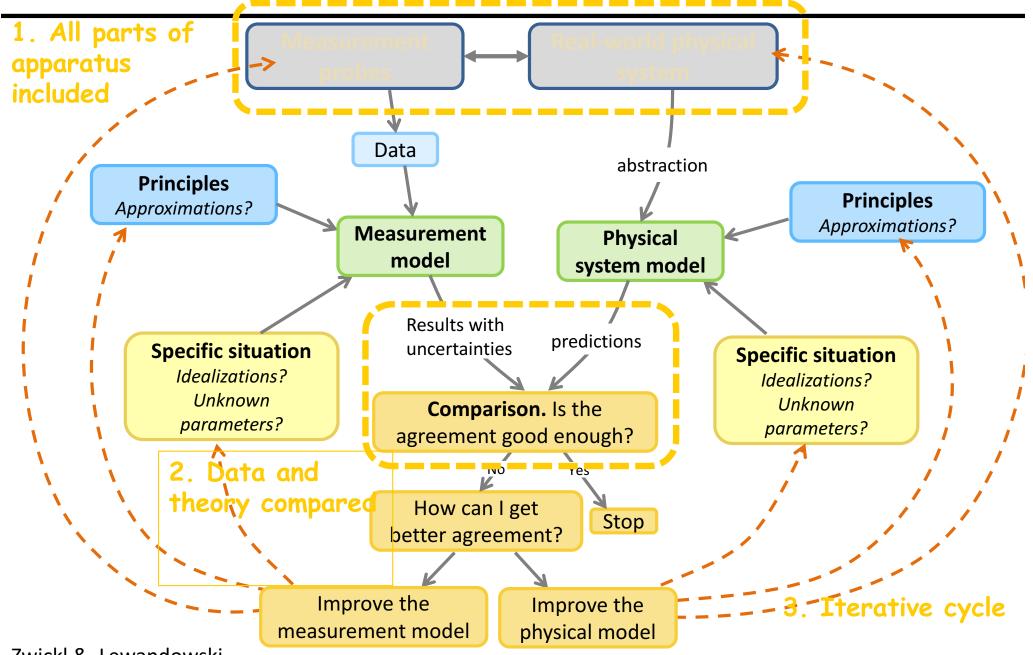
Development of Learning Goals



Learning Goals

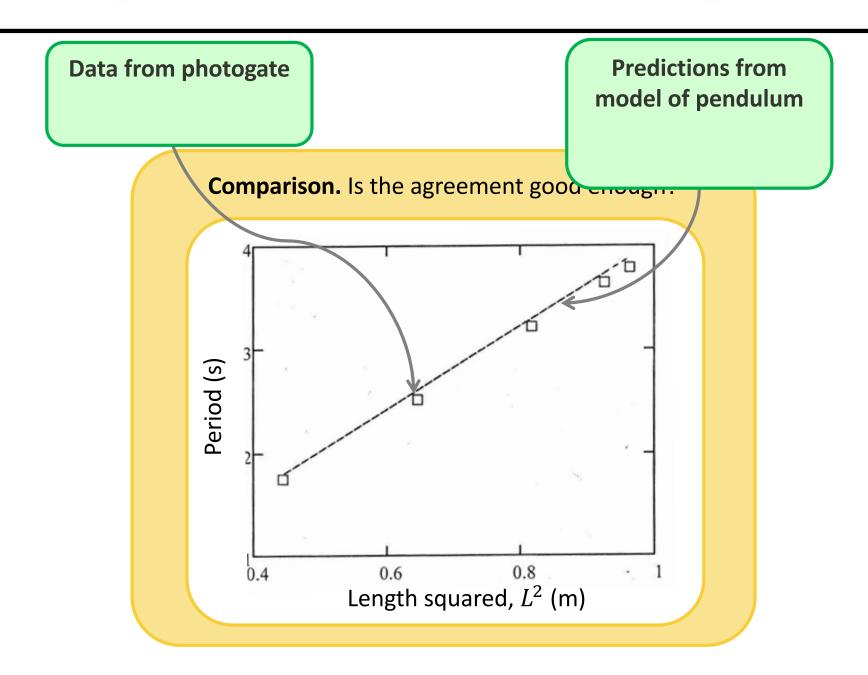


A Modeling Framework for Labs



Zwickl & Lewandowski

Comparison between data and predictions



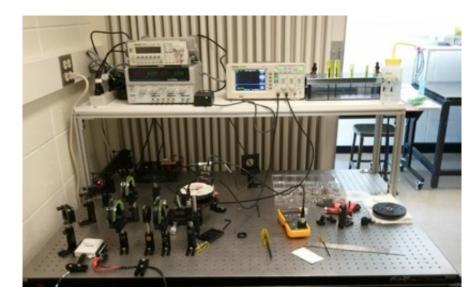
Implementing Change at Colorado

Physical Transformation



New:

- 10 versatile optics workstations
- research grade equipment
- 80% of components common to all labs.
- 20% specialized equipment



Standard optics workstation



Integrating Lecture and Lab

Old:

- Lecture remote
- Lecture topics tangential.

New:

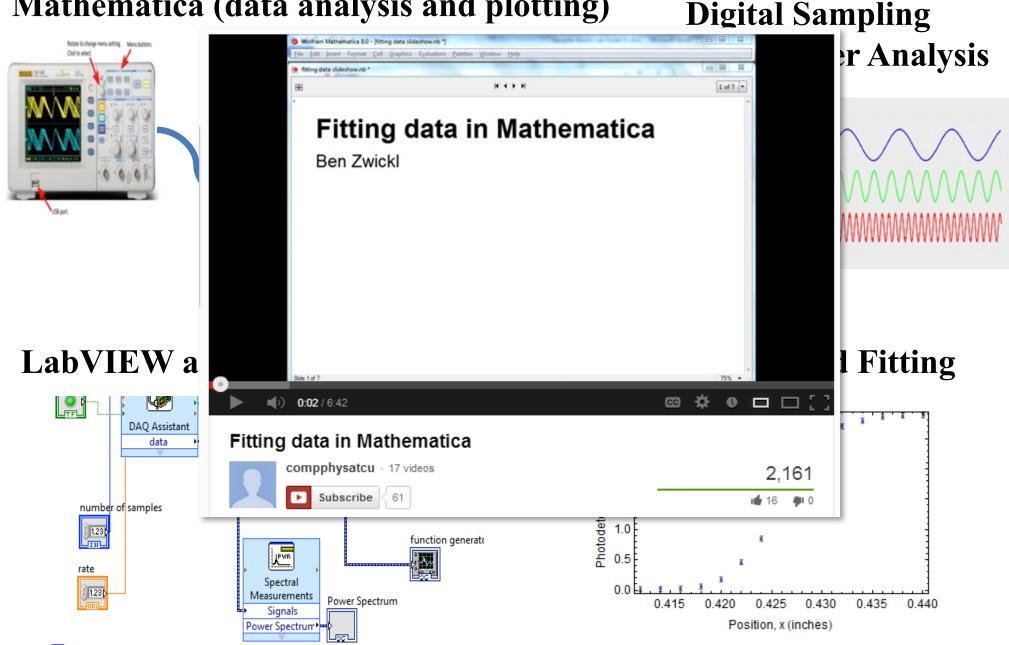
- Room adjacent to lab space
- Collaborative workspace
- Lab skill activities /tutorials in
 - Mathematica
 - LabVIEW
 - Error analysis
- oral presentations



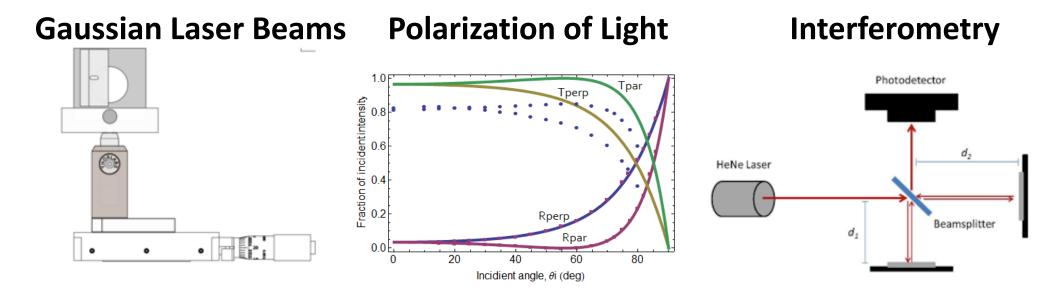


A New Suite of Lab Skill Activities

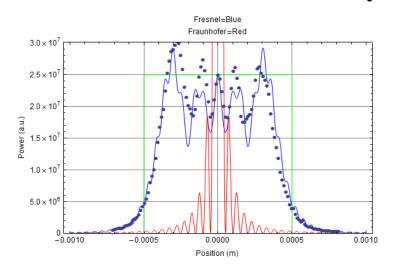
Mathematica (data analysis and plotting)



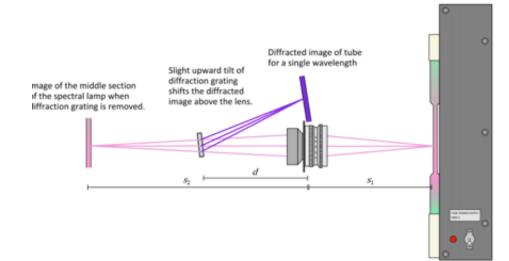
Five Redesigned Optics Labs (emphasize modeling)



Diffraction and Fourier Optics



Build a Spectrometer







Colorado Learning Attitudes about Science Survey for Experimental Physics

1) Survey on experimental physics

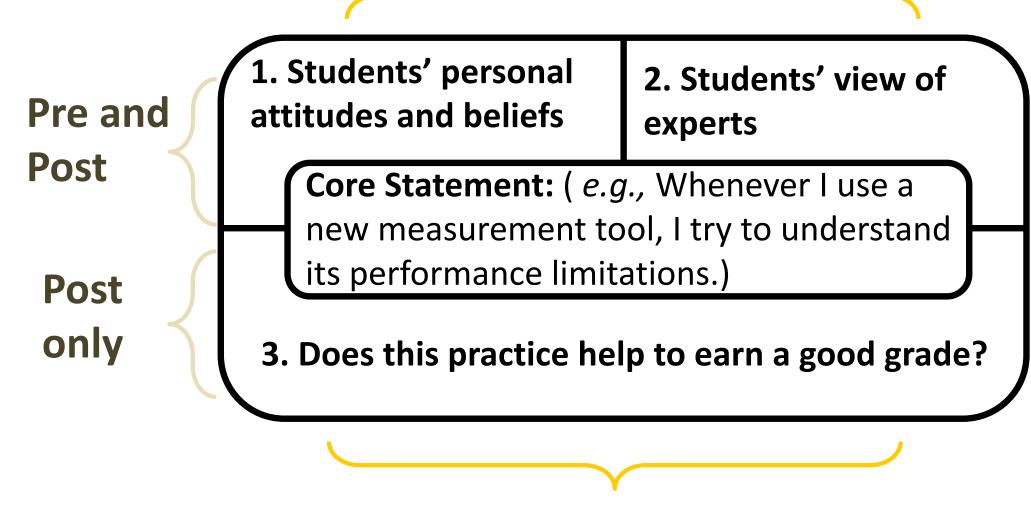
2) Validated for all levels

3) A common tool for all experimental environments

https://jila.colorado.edu/lewandowski/research/e-class-colorado-learning-attitudes-about-science-survey-experimental-physics

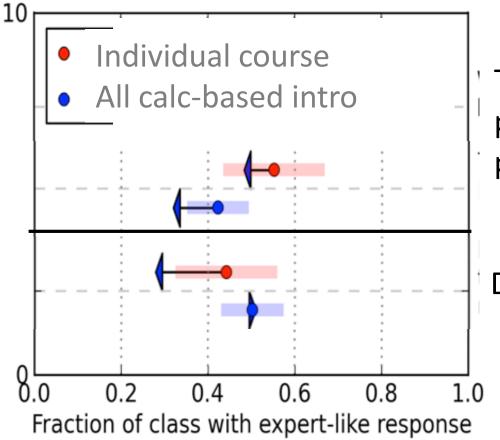
E-CLASS Design

Paired Questions



Actionable Evidence for Instructor

Comparing one course to others



The primary purpose of doing a physics experiment is to confirm previously known results.

Doing error analysis usually helps me understand my results better.

Pilot E-CLASS Survey

23 Institutions28 Courses> 2000 Students

Current E-CLASS survey

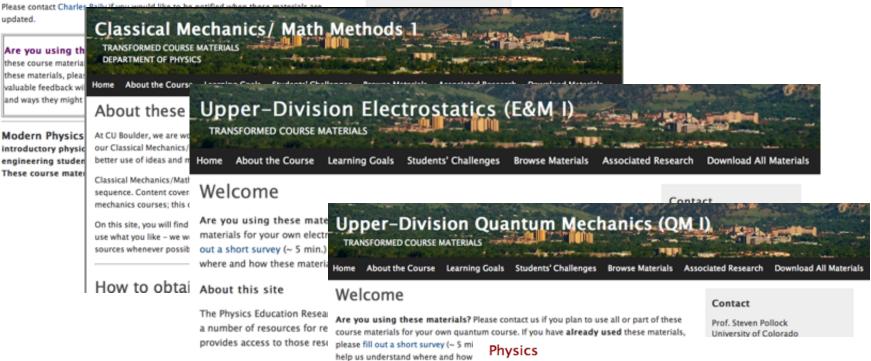
10,000's Students!

Used at all levels (intro. to advanced) and at all institutions (community college to R1, MOOC)



Modern Physics Course Materials

Contact



E&M1 I is the first course in Cimproved.

About this site

The Physics Education Research gro developed a number of resources for This website provides access to tho upper-division quantum mechanics

On this site, you will find a number use what you like - we would like to sources whenever possible.

We ask for your cooperation in not

ADVANCED LAB: COURSE MATERIALS

Physics 3340/4430, Advanced Lab, covers aspects of experimental optics (Gaussian beams, polarization, diffraction, Fourier optics, interferometry) and modern physics (scanning tunneling microscopy, NMR, Doppler-free spectroscopy). The course places a significant emphasis on developing, testing, and refining a models; experimental design; communication; measurement and automation (using LabVIEW); and data analysis (using Mathematica).

To access the materials please visit our course archive page at http://www.colorado.edu/physics/phys3340/

About the Transformation:

We transformed senior-level Advanced Lab using:

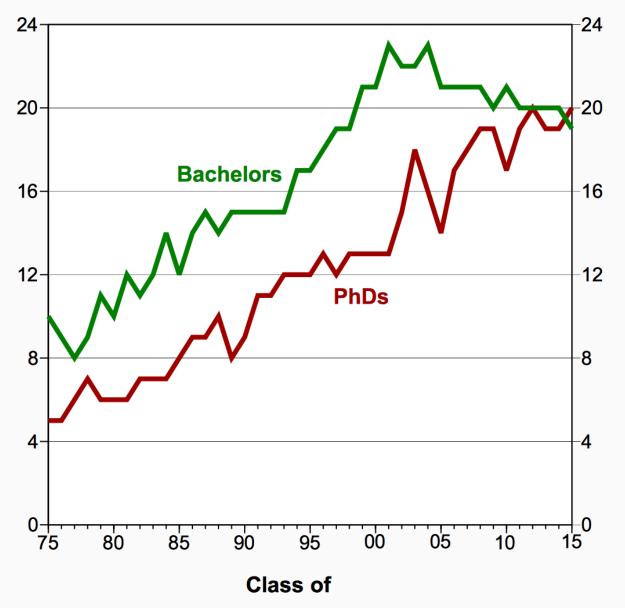
per.colorado.edu

Developing identities, belonging, and tools for inclusion of women in physics

Jane G. Stout,¹ Tiffany A. Ito,¹ Lauren E. Kost-Smith,² Geoff L. Cohen,³ Noah D. Finkelstein,¹ Akira Miyake,¹ & Steven J. Pollock¹ ¹ University of Colorado Boulder ² Northwestern University ³ Stanford University

Representation of Women Among Physics Bachelors and PhDs

Percent



AIP

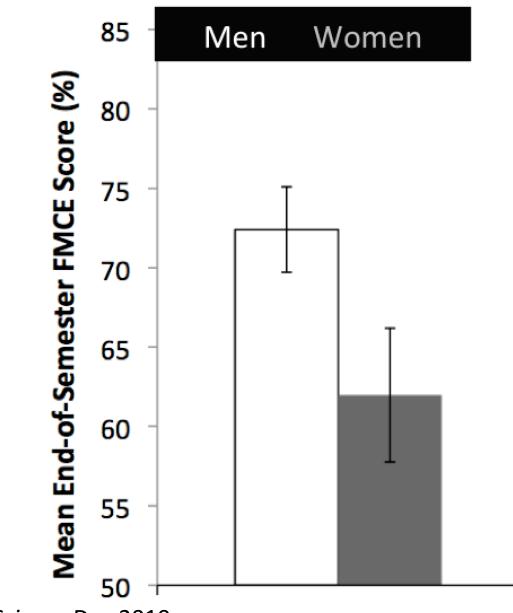
Sense of Physics Identity

"The way a person understands and views himself, and is viewed by others" ¹

> "who they think they are ... and who they want to be."²

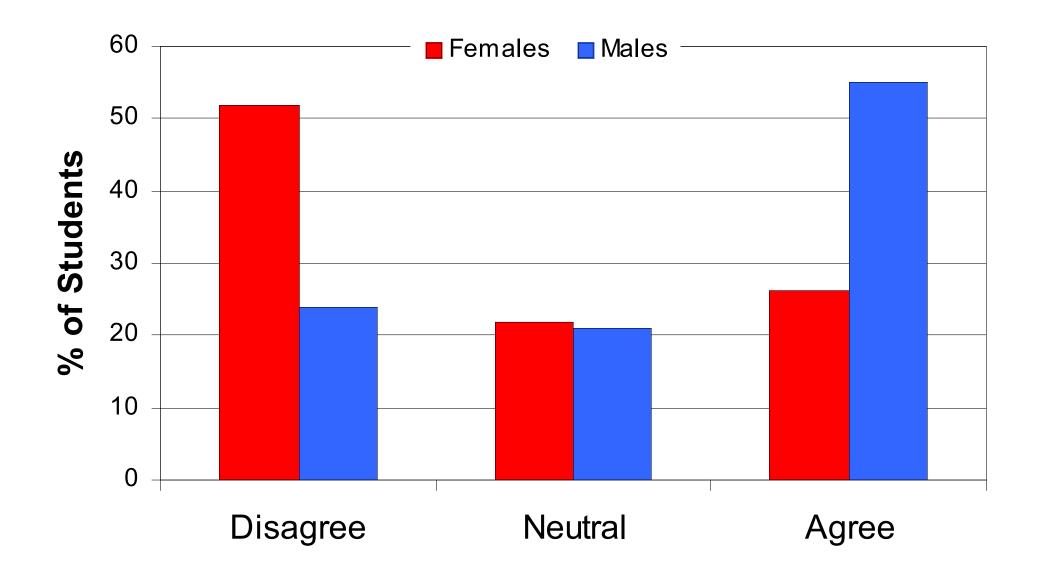
> > ¹ J. Lave & E. Wenger, *Situated Learning*, 1991. ² N.W. Brickhouse, et. al. *J. Res. Sci. Teach.* **37**, 441 (2000).

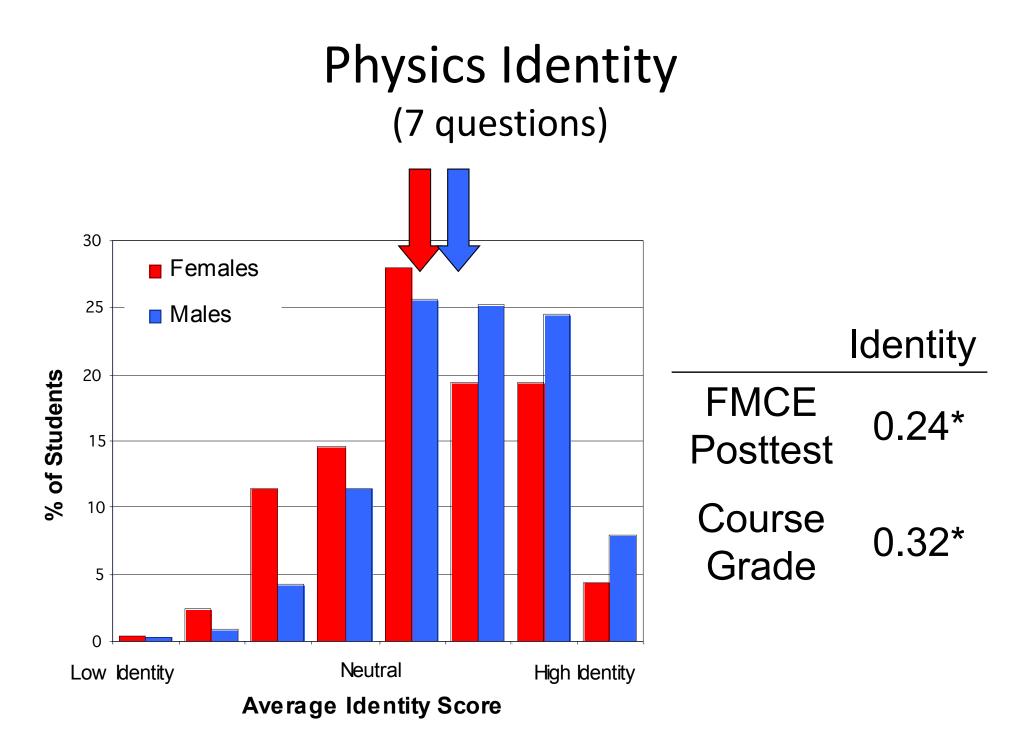
Gender Gaps



Miyake, Kost, et al, Science Dec 2010

I feel like I could be a good physicist.





Kost Smith 2012

Physics Self-Efficacy

The beliefs that people have about their ability to complete a specific task.³

SE beliefs influence choices and effort.³

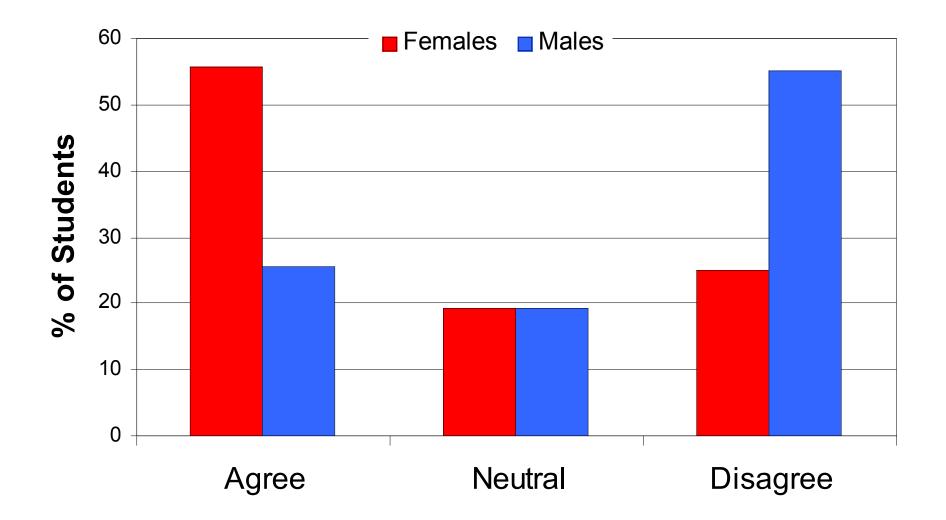
Four sources of self-efficacy⁴: Mastery experience Vicarious experience Verbal and social persuasions

Emotional and physiological responses

³ A. Bandura, *Psych. Rev.* **84**, 191 (1977). ⁴ E.L. Usher & F. Pajares, *Rev. Ed. Res.* **78**, 751 (2008). ⁵ H. Fencl & K. Scheel, *J. Col. Sci. Teach.* **35**, 20 (2005).

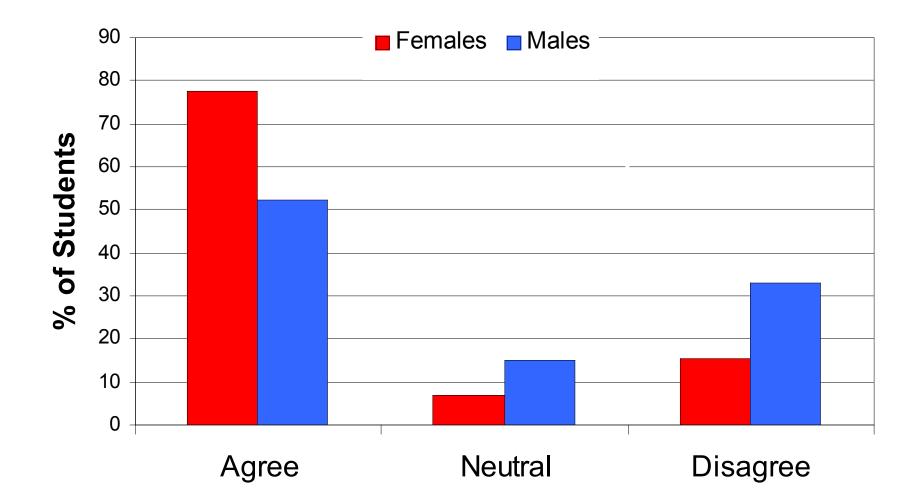
Physics Self-Efficacy

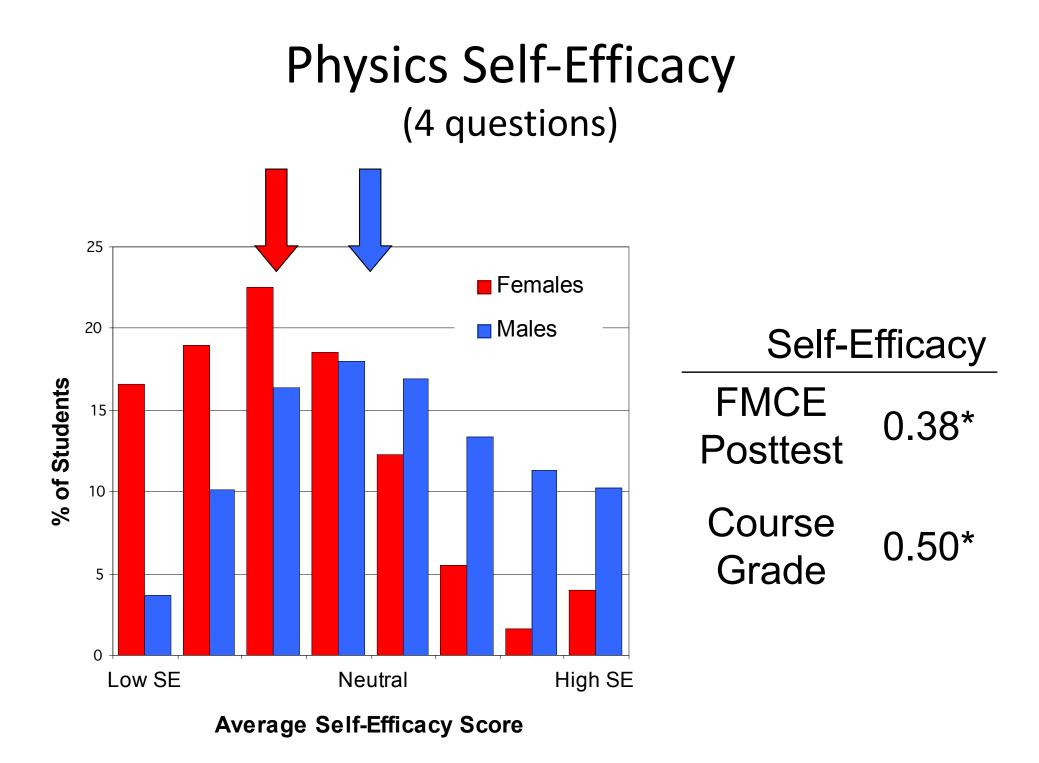
Physics makes me feel uneasy.



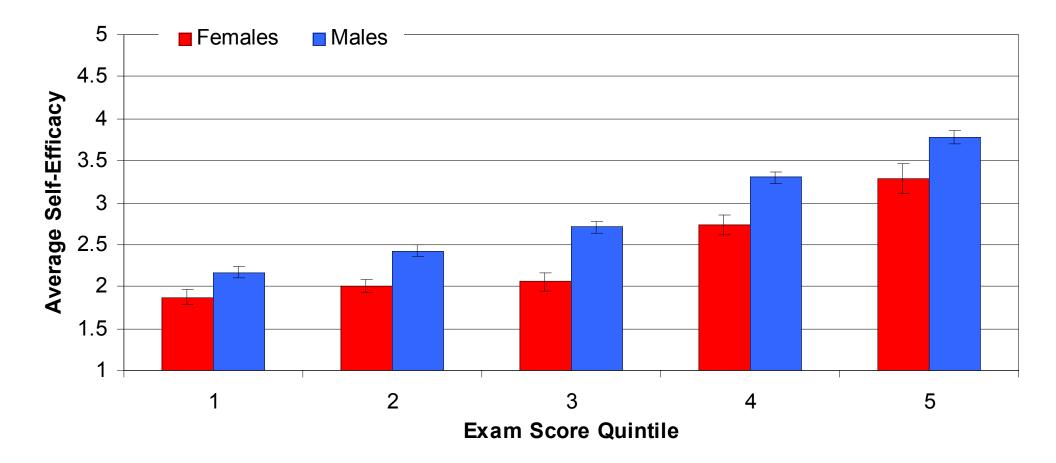
Physics Self-Efficacy

• I worried about my ability to solve physics problems on exams.





Controlling for Exam Score



Even when controlling for exam score, females have significantly lower self-efficacy than males.

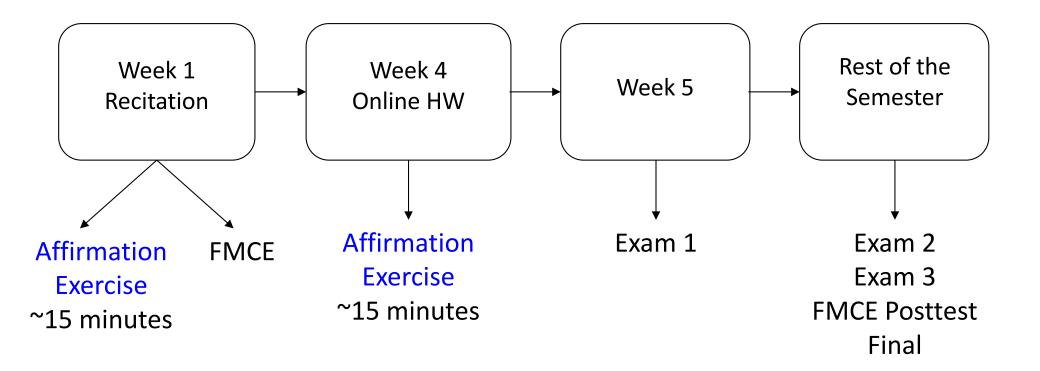
Self-Affirmation

• ST works by threatening one's self-integrity and identity

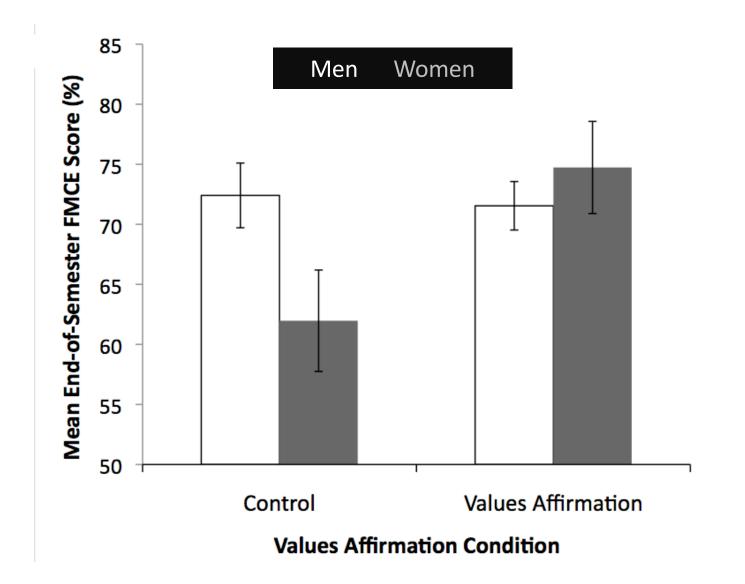
• By affirming one's worth and integrity, through **self-affirmation**, can alleviate ST

Experimental Design

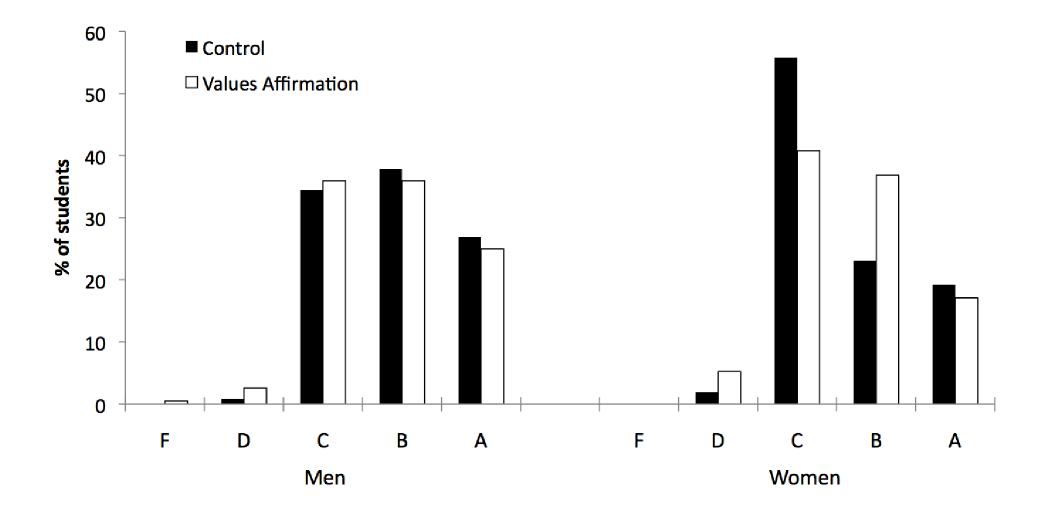
- 2 X 2 randomized design:
 - gender (M,F) X condition (affirmation, control)
- Administer affirmation exercise 2 times



Affirmation Impact

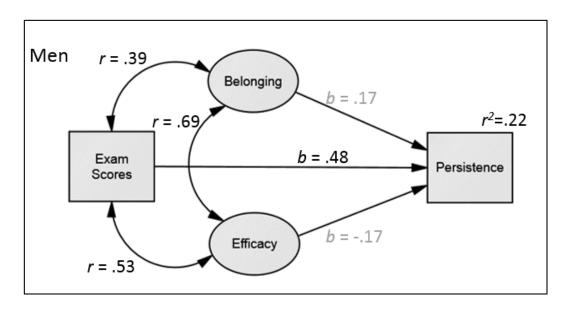


Affirmation Impact: Grades



Miyake, Kost, et al, Science Dec 2010

Survey of Physics 1

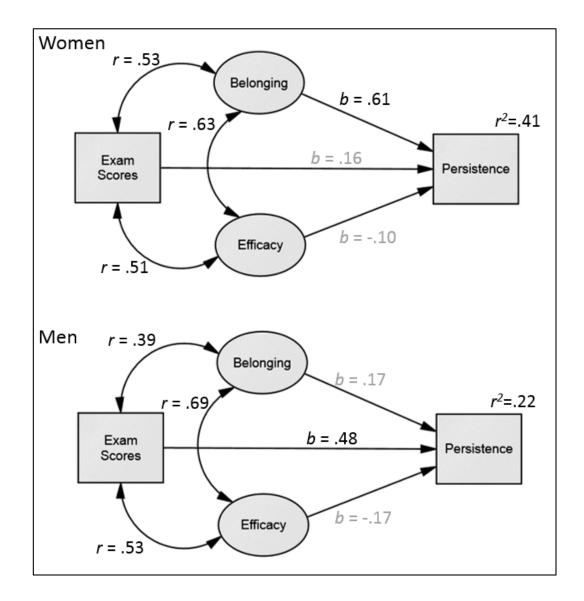


Psychology of Women Quarterly I-17 © The Author(s) 2017 Reprints and permission: sagepub.com/journalsPermissions.nav DOI: 10.1177/0361684317720186 journals.sagepub.com/home/pwq

Lewis (2017)

(\$)SAGE

Survey of Physics 1

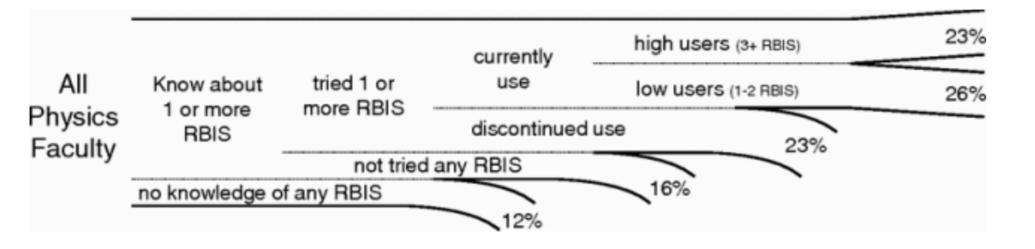


Psychology of Women Quarterly I-17 © The Author(s) 2017 Reprints and permission: sagepub.com/journalsPermissions.nav DOI: 10.1177/0361684317720186 journals.sagepub.com/home/pwq

Lewis (2017)

(\$)SAGE

Faculty Use/ Engagement



Use of research-based instructional strategies in introductory physics: Where do faculty leave the innovation-decision process?

Charles Henderson, Melissa Dancy, and Magdalena Niewiadomska-Bugaj Phys. Rev. ST Phys. Educ. Res. **8**, 020104 – Published 31 July 2012



Keeping the Good things Going: Study and Improvement of Change Strategies in STEM Education

Henderson, C., Beach, A., & Finkelstein, N. (2011) <u>Facilitating Change in Undergraduate</u> <u>STEM Instructional Practices: An Analytic Review of the Literature, *Journal of Research in* <u>Science Teaching</u>, 48 (8), 952-984.</u>



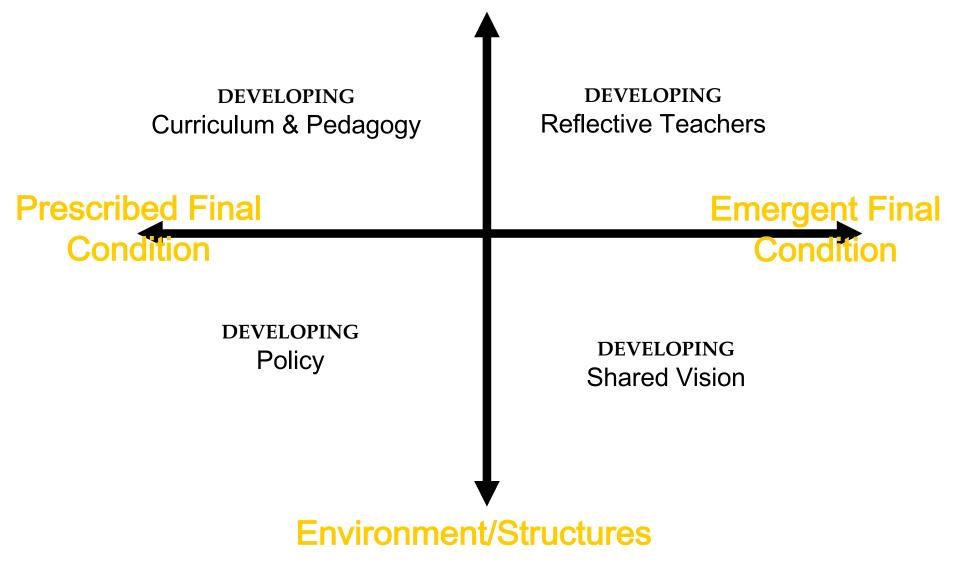
The Big Question

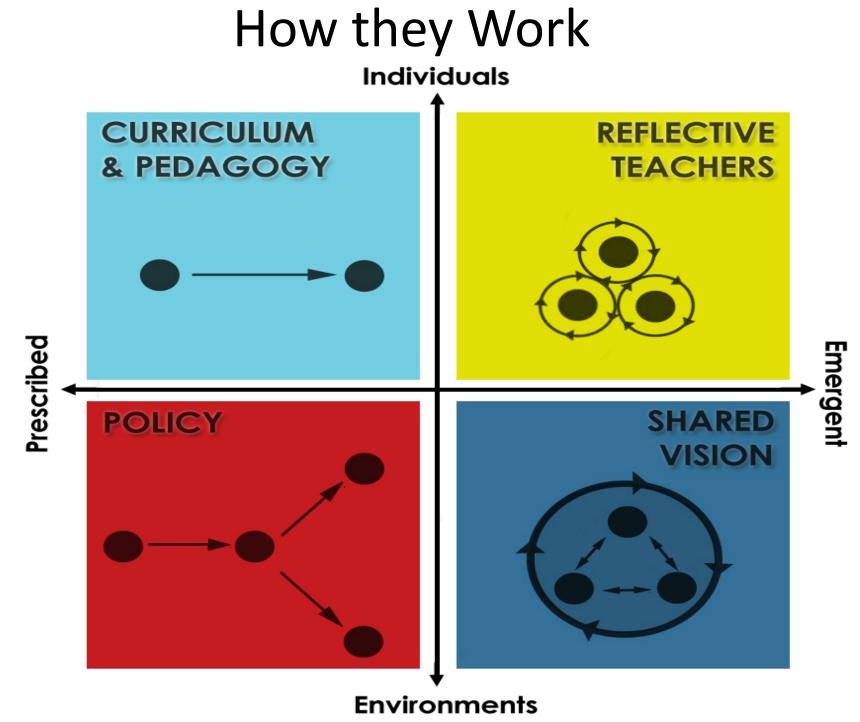
How to encourage the spread of research-based ideas to all instructors/classrooms?





Individuals





C. Henderson, A. Beach, and N. Finkelstein, "Facilitating change in undergraduate STEM instructional practices: An analytic review of the literature. *Journal of Research in Science Teaching*, 48(8), 952-984 (2011).



Three Groups - One Common Goal

•Transform undergraduate education from the instruction paradigm to the learning paradigm*

The Instruction



Traditional Physics class at University of Rochester

The Learning



Clicker use at UC Riverside



Workshop Physics Classroom at Dickinson College



White boards at Western Michigan University



SCALE-UP Physics class at Clemson University

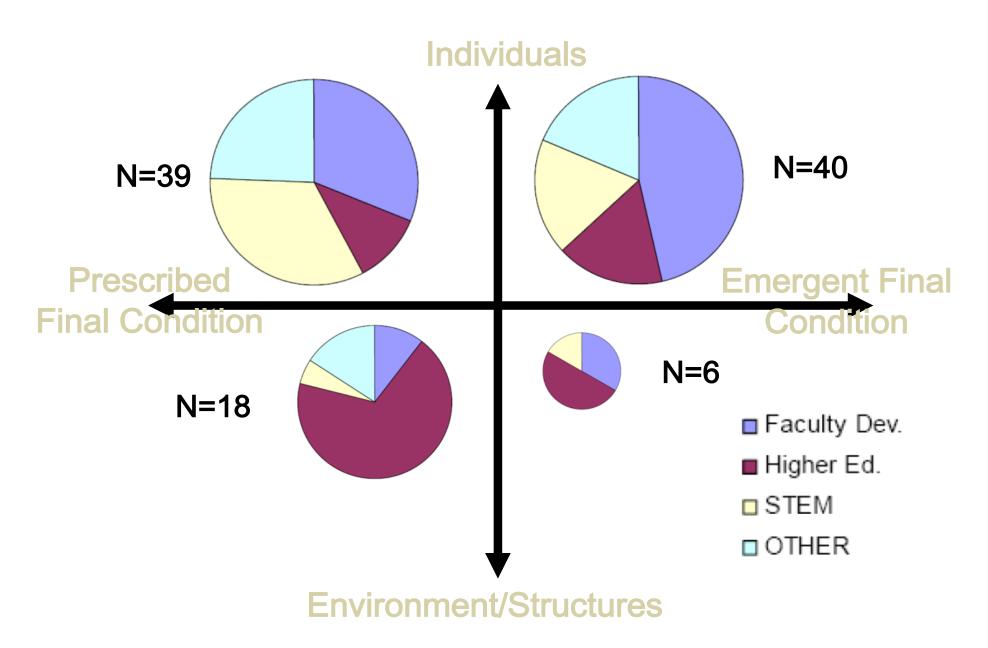
*From Barr, R. B. and Tagg, J. (1995) From teaching to learning - a new paradigm for undergraduate education. *Change* (November/December), 13-25.

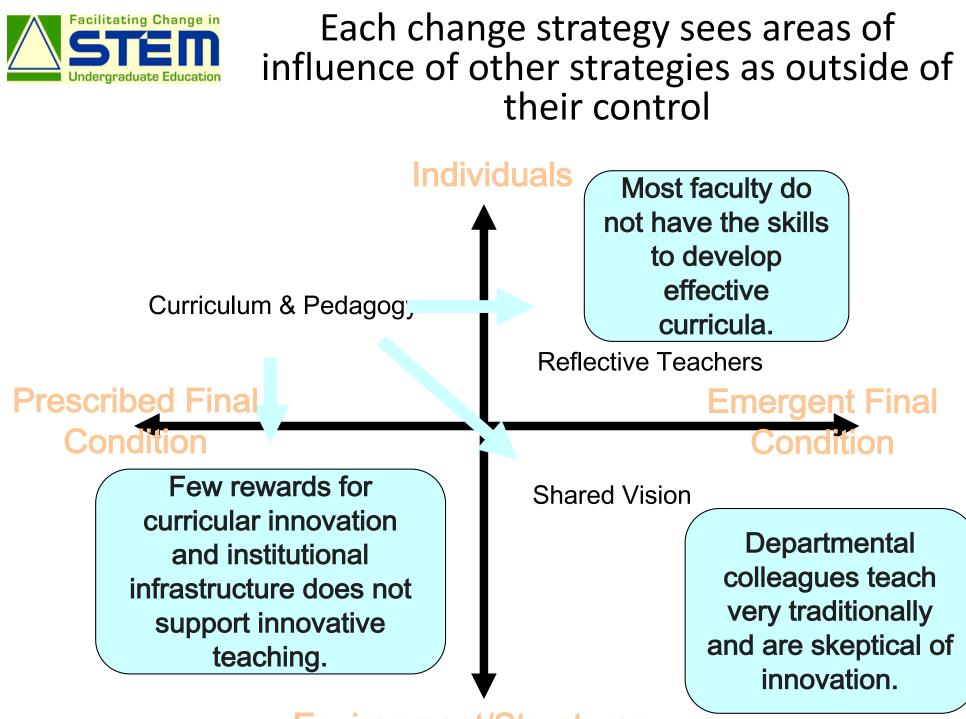


Four Categories of Change Strategies developed from an interdisciplinary literature review

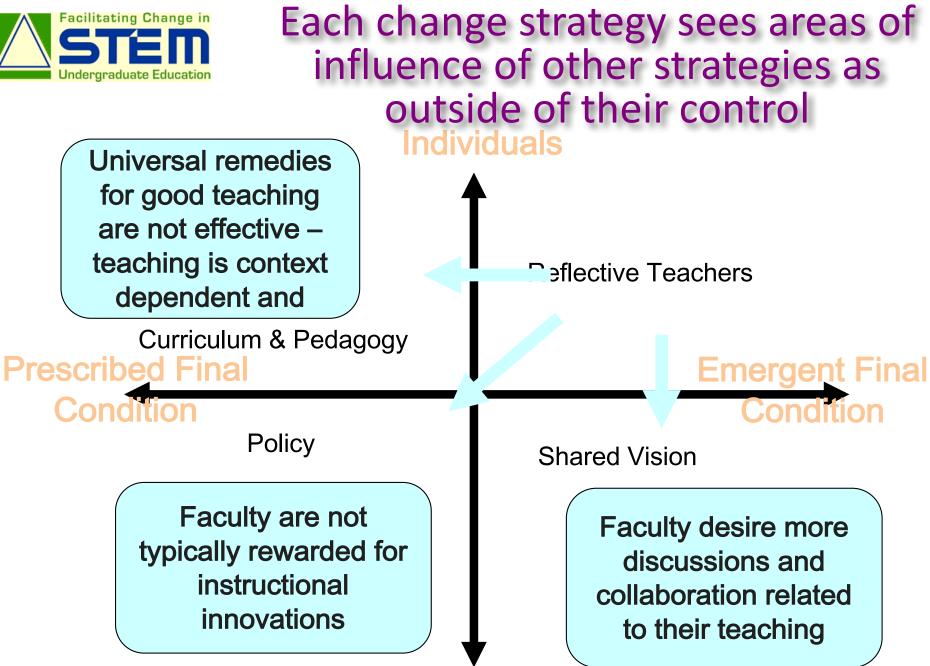
For more details: Henderson, C., Beach, A., Finkelstein, N., & Larson, R. S., (2008, June). <u>Preliminary</u> <u>Categorization of Literature on Promoting Change in Undergraduate STEM</u>. Paper presented at the Facilitating Change in Undergraduate STEM symposium, Augusta, MI. <http://www.wmich.edu/science/facilitating-change/PreliminaryCategorization.pdf>

Discipline of Authors Align as Expected











Each change strategy sees areas of influence of other strategies as outside of their control Individuals

Most faculty have no formal training in teaching and learning.

Curriculum & Pedagogy
Prescribed Final

Policy

Condition

Shared Vision

Reflective Teachers

Norms of faculty autonomy make faculty reluctant to critique the teaching of their colleagues.

reflecting on their

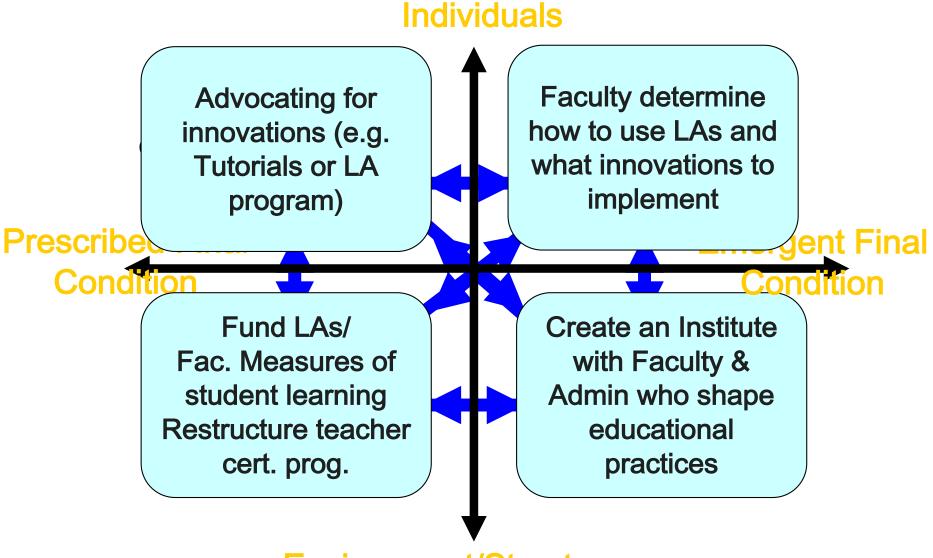
teaching would be

productive.

Emergent Final



Revisiting Colorado's Approach



STEM Institutional Transformation Action Research (SITAR) Project



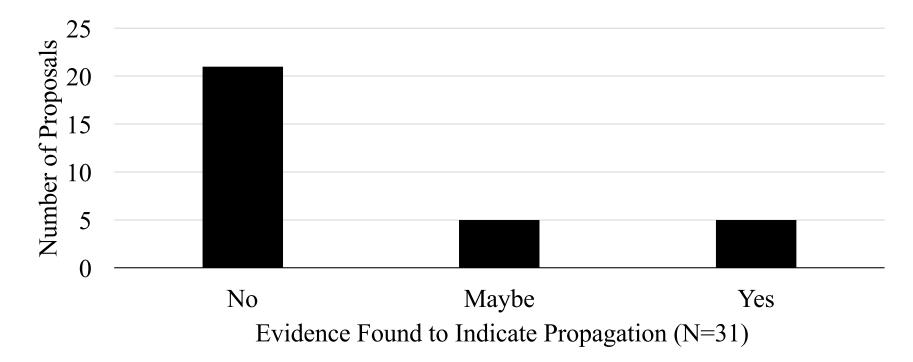


Daniel Reinholz, Joel C. Corbo Melissa Dancy, Stanley Deetz, **Noah Finkelstein**



We know change needs modeling

From a cohort of NSF awards designed for transformation



"We found no evidence of successful propagation for most projects"

Stanford, C.; Cole, R. S.; Froyd, J.; Friedrichsen, D.; Khatri, R.; Henderson, C. (submitted) "Designing for sustained adoption: An analysis of propagation plans in NSF-funded education development projects?

Overall Project Goal

"To influence the **culture** of STEM departments at AAU universities so that they will use **sustainable**, studentcentered, evidence-based, active learning pedagogy in their classes, particularly at the first-year and sophomore levels."

Association of American Universities



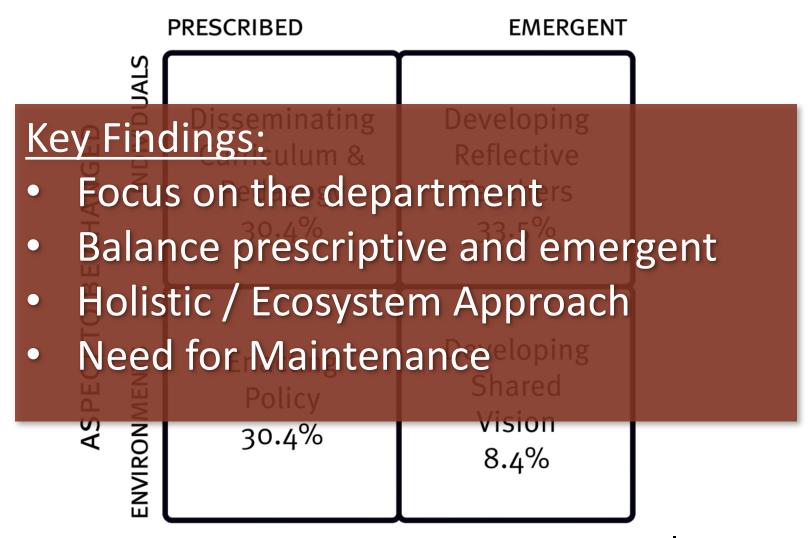


Historically evolving collective use of tools, norms practices

That which fills in between our knowledge/ understanding and our need to act.

Drawing from Change Literature

INTENDED OUTCOME

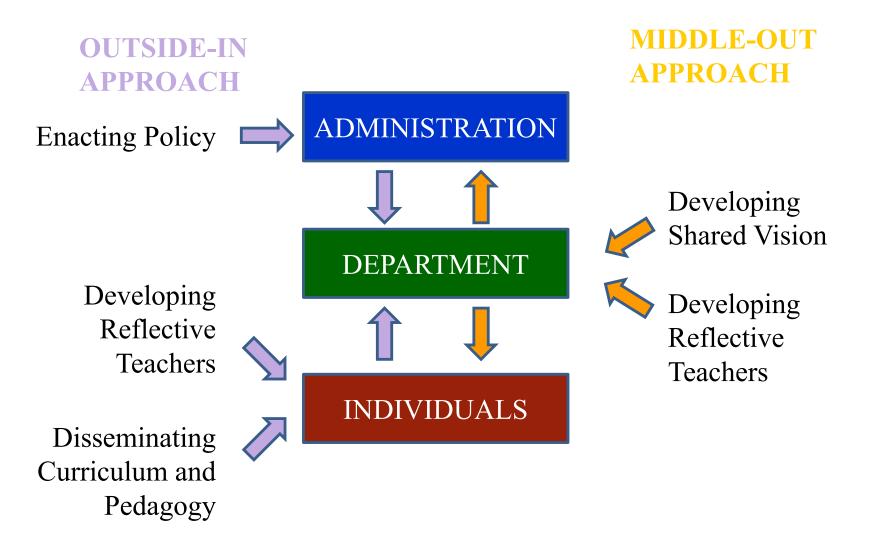


Henderson, Beach, and Finkelstein, J. Res. Sci. Teach. 48, 952–984 (2011)

Departmental Cultural Commitments

- Students as partners in the education process.
- Education designed around learning outcomes.
- Decisions are evidence-based.
- Active collaboration & positive communication
- Department as a "learning organization."
- Value inclusiveness, diversity and difference.

Two Approaches to Change

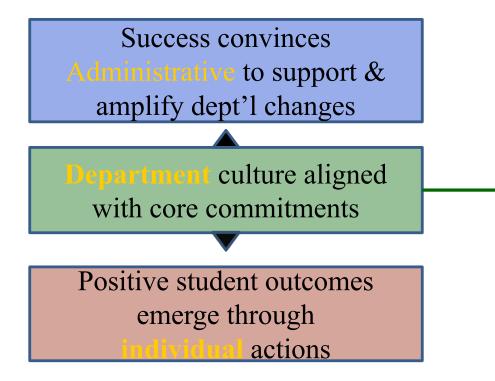




Daniel L. Reinholz, Joel C. Corbo, Melissa H. Dancy, Noah Finkelstein, Stanley Deetz Transforming Institutions: 21st Century Undergraduate STEM Education (2015)

	Interactions Across Levels			External Forces
	Admin. on	Depts. on	Faculty on	AAU Project on
Admin.	-	Determining priorities for allocation resources	Grassroots faculty committees	Framework for Teaching Excellence
Depts.	Setting campus priorities and initiatives	-	Voting, governance, and committee work	Visioning & Alignment
Faculty	Measures of teaching effectiveness	Norms for teaching evaluation	-	Departmental Action Teams

Middle-Out Implementation



Work with department(s) to facilitate a process designed to align with core commitments & dept'l goals

Encourage student-centered shifts in individuals' beliefs & practices

Assessments: (Formative)

- Assessment as a lever
- Encourage reflective practice

Departmental Action Teams (DATs):

- Address departmental issue
- Achieve long-term stability
- Draw from SoTL, FLC, SEI

Encourage student-centered shifts in individuals' beliefs & practices

Assessments: (Formative)

- Assessment as a lever
- Encourage reflective practice

Departmental Action Teams (DATs):

- Address departmental issue
- Achieve long-term stability
- Draw from SoTL, FLC, SEI

Encourage development of administrative structures that value student-centered education

Encourage student-centered shifts in individuals' beliefs & practices

Faculty Senate/ Taskforce:

• (Re)Define teaching excellence

Senior Administration:

• Require evidence of educational impacts

Centralized Tools/ Resources:

- Accessible Tools
- Inform Educational Practices

Encourage development of administrative structures that value student-centered education

Encourage departmental shifts from "above" and "below"

Encourage student-centered shifts in **individuals'** beliefs & practices

Faculty Senate/ Taskforce:

• (Re)Define teaching excellence

Senior Administration:

- Require evidence of educational
- impacts Assessments: (Formative)
- Centralized Tools/a Resources:
- Accessible repletive practice
- Inform Educational Practices

Departmental Action Teams (DATs):

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Encourage student-centered shifts in individuals' beliefs & practices

Assessments: (Formative)

- Assessment as a lever
- Encourage reflective practice

Departmental Action Teams (DATs):

- Address departmental issue
- Achieve long-term stability
- Draw from SoTL, FLC, SEI

Departmental Action Teams

Draw from FLCs, Communities of Practice

Dept'l Focus & collective outcomes

Enculturation, learning, sustaining









faculty

Departmental Action Teams



STEM Institutional Transformation Action Research (SITAR) Project



Departmental Action Teams (DATs)

A Departmental Action Team (DAT) is a new type of faculty working group created as a part of the AAU-funded SITAR Project, which aims to **sustainably improve undergraduate STEM education** across CU's campus. DATs are facilitated by SITAR Project team members to support faculty members within a department to **identify an educational issue of broad-scale importance** that they want to address and to **make sustainable changes** by designing and implementing new **structures** and shifting departmental **culture** to address the issue.

Motivation

Research shows that educational issues rarely "stay solved" on their own. Unless there is explicit attention to sustaining improvements, it is unlikely that they will last. Accordingly, a DAT aims to create new structures within a department for supporting continuous improvement so that positive changes do not atrophy over time. By investing in the creation of these structures, it will be much easier for a department to make further improvements down the road.

Additionally, an educational change will only be sustained if a department's culture and the change are aligned with each other. Thus, DATs think explicitly about departmental culture when planning and implementing new structures. Part of the DAT's work may involve facilitating a cultural shift within the department to help the department better achieve the goals that motivated the creation of the DAT in the first place.

Structure

A DAT consists of a self-selected group of about 4-8 participants; these participants are primarily faculty within a single department, but may also include postdoctoral researchers, students, or staff. The members of a DAT have agency in choosing its focus; DAT members select an educational issue of shared interest within their department and work collaboratively to address it.

DATs meet regularly, typically for an hour every other week for two or more semesters. Between meetings, DAT members assign their own "homework," determining what needs to be done and how much time they will commit. DAT members also decide whether or not they would like to schedule additional meetings. Thus, the work of the DAT is entirely participant driven.

The DAT is facilitated by external facilitators that are part of the SITAR team. These facilitators bring expertise in educational research and institutional change, help coordinate logistics, and help the group work together in a collaborative fashion.

Departmental Action Teams: Supporting faculty learning through departmental change

We introduce a new type of faculty working group, called a Departmental Action Team (DAT). A DAT is a self-selected group of 4-8 participants, consisting primarily of faculty within one department. DAT members select an educational issue of shared interest and work collaboratively to create new departmental structures to sustainably address it. DATs are distinct from but draw from Faculty Learning Communities (FLCs); we distinguish DATs and FLCs using three frameworks. To illustrate the application of these frameworks we describe an extended example of one DAT that was a part of a larger project focused on institutional change.

Introduction

To date, most efforts to improve education in universities have focused on the development and dissemination of teaching innovations (Bennett & Bennett, 2003; Henderson, Beach, & Finkelstein, 2011). While many powerful teaching strategies have been developed through these efforts (e.g., Freeman et al., 2014), analysis of their dissemination has brought attention to the difficulty of supporting and sustaining the use of these strategies (Henderson & Dancy, 2009; Henderson, Dancy, & Niewiadomska-Bugaj, 2012; Kezar, 2011). As a result, we have yet to see widespread change in teaching practices in undergraduate education (Austin, 2011; Fairweather, 2008). Thus, there is an urgent need to create new models and approaches for effecting *and sustaining* educational change.

This paper introduces a new type of faculty working group, a Departmental Action Team (DAT), which helps address this need. A DAT is a self-selected group of mostly faculty within a single department with three primary goals: (1) to influence departmental culture by addressing an educational issue of departmental interest, (2) to sustain improvements related to the issue by creating lasting structural changes, and (3) to provide a collaborative, community-building experience for DAT members. DATs are departmentally-focused, faculty-driven, team-based, and focused on creating sustainable changes from the offset; thus, the DAT model has a strong

Encourage development of Administrative structures that value student-centered education

Encourage student-centered shifts in **individuals'** beliefs & practices

Faculty Senate/ Taskforce:

• (Re)Define teaching excellence

Senior Administration:

• Require evidence of educational impacts

Centralized Tools/ Resources:

- Accessible Tools
- Inform Educational Practices

Evidence-based Tools / Practices

- Visualization of Instructional Practices
- Visualization of Student Pathways
- Tools for Physical Plant

Faculty Practices **←** Administrative Priorities

Visualizing Instructional Practice



Arts & Sciences Support of Education Through Technology

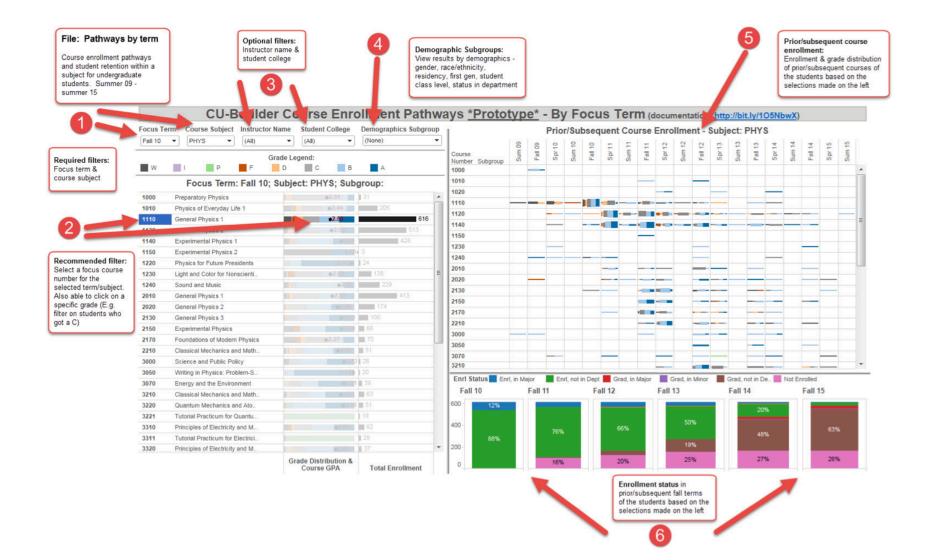
About Us Events Communities Programs & Services Resources Assessm

Home > Programs & Services > Visualizing Instructional Practices Service

Visualizing Instructional Practices Service

ASSETT's Visualizing Instructional Practices (VIP) Service provides faculty within the College of Arts and Sciences with a new way of reflecting on their teaching, by describing what happens across a class period. This service benefits faculty who are interested in gaining new insights into the patterns of their class activities, in documenting changes as they try out new methods of teaching, and in having new ways to communicate about their teaching with colleagues.

Data Analytics: Student Pathways



Design Principles of Educational Space

drafted and approved by the Provost's Learning Spaces Committee 2016

- 1. Spaces should enable student-centered and interactive pedagogy by being reconfigurable and allowing student and faculty mobility.
- 2. Technology and tools that directly support learning of disciplinary core ideas, formative assessment, collaborative construction, and inquiry into teaching should be accessible to faculty and students.
- 3. Spaces should ensure environmental quality, that is, physical characteristics that matter for attention, engagement, and learning.
- 4. Spaces should follow principles of Universal Design for Learning (UDL), that is, the space should be instrumented so as to provide options for communication to maximize access to all learners.
- 5. There should be limited numbers of design configurations.
- 6. Ensure faculty are supported in effective practices in using these spaces.
- 7. Situate efforts to redesign spaces and create new ones within broader campus initiatives and priorities.

Write-ups Available

Observation Protocol for Learning Environments (OPLE)

This project creates a widely-accessible, flexible, research-based tool for observing educational practices of faculty and students in classrooms. This tool is designed to support both formative and summative evaluation of educational practices. OPLE is a code-based protocol based on the Teaching Dimensions Observation Protocol (TDOP) code scheme and run through the Generalized Observation & Reflection Protocol (GORP) web platform.

Classroom Observations:

In practice, trained (2 hrs) students, staff and faculty are enlisted to observe an educational environment (usually letture) and code observed practices of students and faculty or minute intervals

A sample interface from GORP using the CU-based codes is shown in Figure 1. While

based on the TDOP (and COPUS) code schemes, modifications have been made to

emphasize a broader array of technology

uses and differentiate forms of dialogue that students and faculty are engaged in

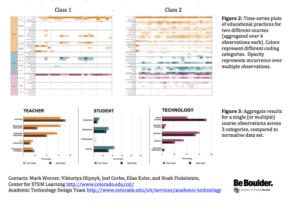
(both in discussion and in quest



Figure 1: Observational interface for touch-screen or keyboard, running through GORP

Outcomes:

Data visualization of observed classes make it easy to differentiate the various forms of practice in the classroom. The range of pedagogical strategies and how they evolve over time are both captured.



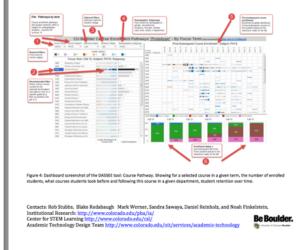
Data Analytics for Student Success and Educational Effectiveness (DASSEE)

The tools developed in this project make it possible for departments, deans and administrators to track impacts of courses and pathways of students across courses. They address campus priorities for improving student retention, diversity and evidence based decision making.

Using Tableau as a visualization platform, queries of our student information system, can be performed focusing on:

- an individual course over time,
- the suite of courses in a given department or unit for a given semester, or
- a dashboard of individual student course grades by major over term (not shown).

Key visualizations in the dashboard include representation of enrollment, grade distributions, pathways into a given course and following course, retention/persistence of students from a given course over time (in the major and institution). Subgroups can be selected by term, faculty instructor, demographics (including: individual instructor offering of a course, gender, first generation status, ethnic/racial identification), and for subgroups receiving a given grade in a course.



Design Principles for Educational Spaces at CU-Boulder drafted and approved by the Provot's Learning Spaces Committee 2016

The Learning Spaces Committee provides the following guidelines for the creation and support of effective educational environments. These guidelines are based on current theories and models of effective educational practicus, and seek to estabilish a strategic and aschalarly approach to the creation and modification of educational spaces designed to support face-to-face interaction. As the landscape of higher education changes, so, too, should these guidelines. As such, this document is meant to be a living and adaptive set of resources for our community.

These guidelines are meant to serve as a starting-point for the various committees, architects, facilities manager, and other stakeholders engaged in designing and renovating educational spaces on campus. While not hard-dars time, these guidelines should be considered at the outset of any effort to transform educational spaces on campus. B is anticipated that there are intrumstances distate variation from these guidelines; however, B is expected that such a choice would be an intertional, avidencebased and considered endeavor. Not only might these guidelines provide direction in the design and use of space, but also serve as a tool for coordination among the various stateholders, and serve as a form of institutional memory in our design and use of ducational gueses.

The following seven principles are grounded in and driven by learning. In the subsequent pages, each principle is followed by specific, actionable implications (implications for space and design), followed by arguments and evidence supporting these actions (Wby shit principle?), and references to support the arguments. The first four principles focus on specific recommendation for the design of space, and the latter three focus on institutional structures in support of effective creation <u>adjuot</u> these spaces.

- Spaces should enable student-centered and interactive pedagogy by being reconfigurable and allowing student and faculty mobility.
- Technology and tools that directly support learning of disciplinary core ideas, formative assessment, collaborative construction, and inquiry into teaching should be accessible to faculty and students.
- Spaces should ensure environmental quality, that is, physical characteristics that matter for attention, engagement, and learning
- Spaces should follow principles of Universal Design for Learning (UDL), that is, the space should be instrumented so as to provide options for communication to maximize access to all learners.
- 5. There should be limited numbers of design configurations.
- 5. Ensure faculty are supported in effective practices in using these spaces
- Situate efforts to redesign spaces and create new ones within broader campus initiatives and priorities.



Analysis



TOWARDS A FRAMEWORK FOR SUPPORTING AND ASSESSING TEACHING QUALITY AT CU-BOULDER

Noah Finkelstein, Jessica Keating, Joel Corbo University of Colorado Boulder

Daniel Bernstein University of Kansas Daniel Reinholz, San Diego State

And Leadership at CU and 12 Departments

Aligning Practice to Policies

Changing the Culture to Recognize and Reward Teaching at Research Universities



TOWARDS A FRAMEWORK FOR SUPPORTING AND ASSESSING TEACHING QUALITY AT CU-BOULDER

[The University of Colorado Boulder should] enhance efforts to upgrade the prestige, respect and reward structure for excellence in the scholarship of teaching; . . . Develop frameworks in which teaching excellence and dedication are evaluated with a level of scrutiny comparable to how research and creative work is scrutinized.

Recommendation 7 Academic Affairs Persistence Committee Co-Chairs: M. Grant & J. Cox

Executive Summary

The University of Colorado Boulder requires that "[d]ossiers for comprehensive review, tenure, or promotion must include multiple measures of teaching" (J. Cox, 2007). However, at present we do not have a well-defined framework to guide individuals or departments in the selection and interpretation of such measures, which makes it difficult to assess teaching quality and support faculty growth in their teaching in a systematic way.

This paper outlines a framework for supporting and assessing teaching quality for all instructors across all departments on campus that is grounded in the scholarship of higher education, including the work of Bernstein and colleagues (2002, 2010) and Glassick and colleagues (1997). This framework defines teaching as a scholarly activity like research. It assesses teaching in terms of six core components of scholarly activity—clear goals, adequate preparation, appropriate methods, significant results, effective presentation, and reflective critique—through the use of three "voices" —those of a faculty member, his or her students, and his or her peers. The framework also supports improved teaching, by providing mechanisms for assessment to help faculty to improve in their practices. These framework categories are held constant across all departments; however, the interpretation of these categories and their relative weights would be defined at a department-by-department level, thus specifying in a clear way what is meant by "multiple measures." This would provide the university with a common approach to assessment while preserving disciplinary identity and specificity.

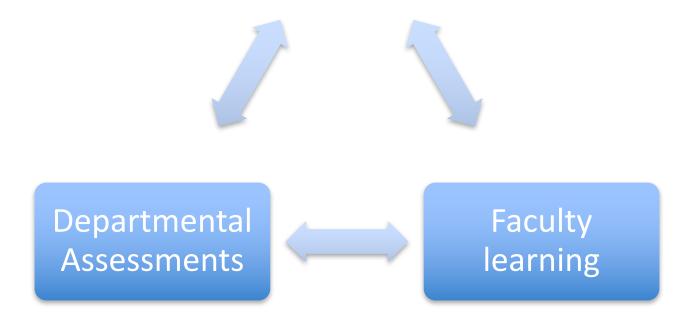
In addition to presenting this framework, we suggest a strategy for implementation that will lead to its campus-wide adoption. This strategy is not a top-down mandate. Instead, it focuses on bringing together key faculty leaders and departments and providing them with a structure to help them co-create, test, and evaluate the framework in a relatively low-stakes context (merit raises, rather than tenure and promotion). This is an opt-in model, with pilot departments choosing to engage and become leaders in this process. Thus, this strategy empowers the community to voluntarily engage in the exploration of new ways of assessing teaching and to adopt the framework because they see its value. Finally, we present a set of examples of ways in which teaching can be assessed that aligns with the framework in order to provide context to the reader.

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Benefits

University messaging



Components of a Framework

Teaching as Scholarly Activity

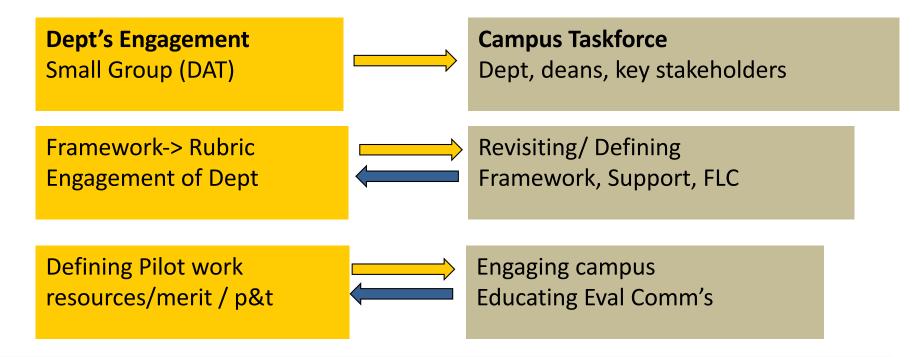
- 1. Clear goals.
- 2. Adequate preparation.
- 3. Appropriate methods.
- 4. Significant results.
- 5. Effective presentation.
- 6. Reflective critique.

Glassick, C. E., Huber, M. T., & Maeroff, G. I. (1997). *Scholarship Assessed: Evaluation of the Professoriate. Special Report*. SF, CA: Jossey Bass Inc.

Process for Developing & Enacting a Framework

Parallel & Intertwined Endeavors

Administrative Commission



Administrative Call / Celebration

Dept's Engagement Pilot approach



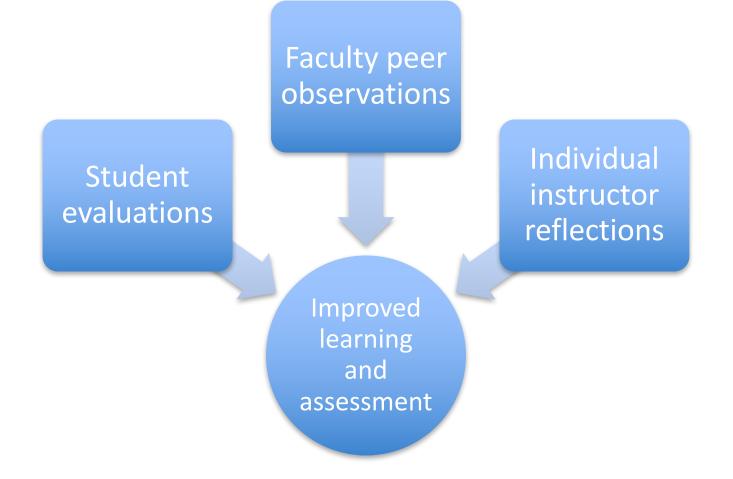
Campus Rev. Comms Support & Educ.

From Framework to Rubrics

- Departmentally-specific rubrics
- •Draw from components of scholarly activity, three voices, or other resources
- This is a pilot version (living document)

Components	Entry into teaching	Basic Skill	Professional	Advanced
Goals of the course or other learning activity	Course/activity goals are absent, unclear, or inappropriate.	Course/activity goals are well articulated and appropriate to the course and to the curriculum.	Course/activity goals identify intellectually challenging and enduring targets and/or are especially well matched to students.	Course/activity goals identify levels of performance that represent excellence and are of interest to many stakeholders.
Preparation for the course or learning activity	Teacher is not adequately knowledgeable and/or has no background in teaching.	The teaching is based on prior scholarship in its area, including current content as well as pedagogical methods and conceptual frames.	The teacher's preparation includes broad synthesis of prior work in content as well as practice in pedagogical methods and conceptual frames.	The teacher acquires and integrates knowledge and skills drawn from the literature of multiple disciplines, both in content and pedagogy.
Methods used to conduct the teaching	No apparent rationale for teaching methods is used; there is no instructional design.	The work follows the conventions of teaching practices within its domain of discipline and institution.	The teaching takes full advantage of effective methods discussed within its discipline.	The work generates new practices that will enable others to improve or enhance their teaching.
Evidence gathered to demonstrate the impact of the teacher's work	There s no measure of student learning, or assessment methods do not match espoused goals.	There is evidence linking students' performance to espoused goals.	Student performances indicate that deep and/ or broad learning is taking place.	The learning demonstrated is exemplary in either depth of learning and/or in breadth of students' success.
Reflection on the teaching and its impact on student learning	The teacher provides no indication of having reflected on or learned from prior teaching.	The teacher articulates lessons learned from reflecting on prior teaching.	The teacher has examined the impact on students' performance within a conceptual framework and adjusted practices based on reflection.	Enhanced achievement of learning goals results form reflection on evidence within a conceptual framework, or the teacher revises the conceptual framework based on student learning outcomes.
Communication of teaching results to others	The practice and results of teaching are kept private.	The teacher's work and students' performances are publicly accessible for others to use, to build on, and to review critically.	The teacher's reflective work has been read and adjustments in practice have arisen through the public discourse.	The teacher's work has had an impact on the practices and inquiry of many others and has contributed to related conceptual frameworks.

Three Voices (Sources of Data)



CU	CURRICULUM ALIGNMENT											
	Factors	Weight	0 (not observed)	1 (initial stages)	2 (average)	3 (very good)	4 (excellent, exemplar)	Final Score				
A. (A. CORE CONCEPTS											
1	Evolution core concept integrated into curriculum		Concept not included in any courses	Students are only minimally exposed to this concept	Students are exposed to this concept in significant detail in at least one required course	Students are exposed to this concept in significant detail in at least one course and implicit understanding is expected in additional courses	Students get multiple opportunities to explore this concept in order to complete their degree					
2	Structure and function core concept integrated into curriculum		Concept not included in any courses	Students are only minimally exposed to this concept	Students are exposed to this concept in significant detail in at least one required course	Students are exposed to this concept in significant detail in at least one course and implicit understanding is expected in additional courses	Students get multiple opportunities to explore this concept in order to complete their degree					
3	Information flow, exchange and storage core concepts integrated into curriculum		Concept not included in any courses	Students are only minimally exposed to this concept	Students are exposed to this concept in significant detail in at least one required course	Students are exposed to this concept in significant detail in at least one course and implicit understanding is expected in additional courses	Students get multiple opportunities to explore this concept in order to complete their degree					
4	Pathways and transformations of energy and matter core concept integrated into curriculum		Concept not included in any courses	Students are only minimally exposed to this concept	Students are exposed to this concept in significant detail in at least one required course	Students are exposed to this concept in significant detail in at least one course and implicit understanding is expected in additional courses	Students get multiple opportunities to explore this concept in order to complete their degree					
5	Systems core concept integrated into curriculum		Concept not included in any courses	Students are only minimally exposed to this concept	Students are exposed to this concept in significant detail in at least one required course	Students are exposed to this concept in significant detail in at least one course and implicit understanding is expected in additional courses	Students get multiple opportunities to explore this concept in order to complete their degree					

PULSE

Partnership for Undergraduate

Life Science Education

Pilot Adoption by Departments

SITAR team and 8 department chairs meet

- 12 departments choose to opt-in In each department
- 2-3 faculty members lead
- Across departments
 - Faculty leads work together
 - Working group (like a Faculty Learning Community or Departmental Action Team)

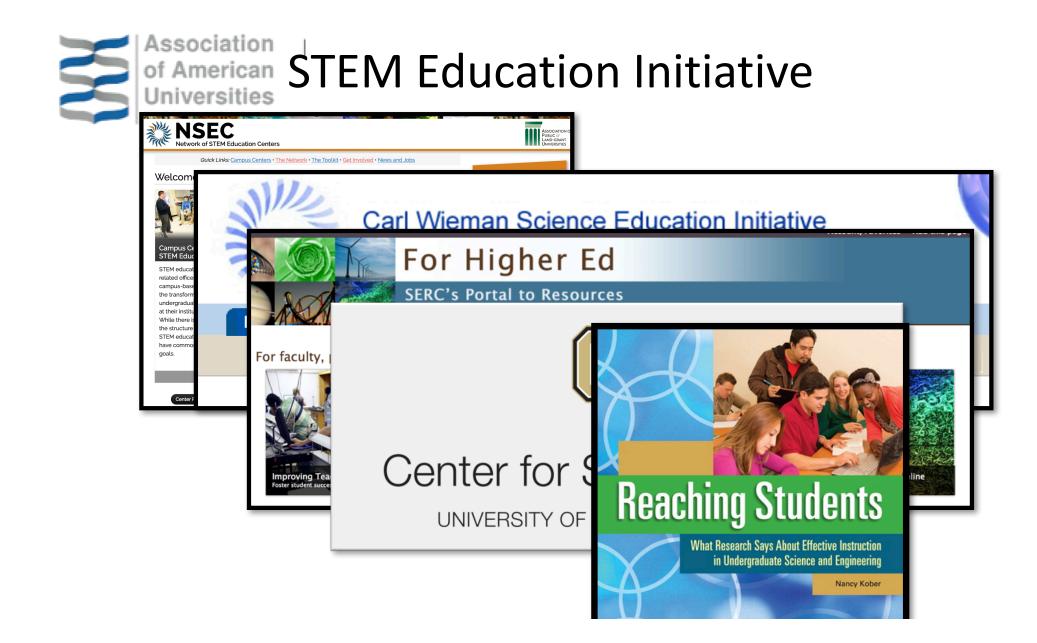
External Recognition and Support





RESEARCH CORPORATION for SCIENCE ADVANCEMENT A foundation dedicated to science since 1912. We know a great deal about: Student reasoning in STEM **Student practices** Faculty use of tools practices and norms Course tools, practices, norms Departmental tools, practices, norms Institutional tools, practices, norms

Many resources for change



Best resources are here...

Caltech Center for Teaching, Learning & Outreach

Resources Faculty TAs Students Outreach Technology Caltech Project for Effective Teaching Core

TeachWeek 2017 About CTLO Events Announcements

Planning & Teaching Courses | Teaching for Inclusion & Diversity | Books & Articles | Teaching Awards | Photographs

LINKS TO CALTECH TEACHING AND LEARNING RESOURCES

Please explore CTLO's on-demand resources on teaching in the following areas:

- Planning and Teaching Courses
- Teaching for Inclusion and Diversity
- Books and Articles
- Caltech Teaching Awards

The offices below also support teaching and learning through services and resources for students and faculty:

- Academic Media Technologies: audio visual services and digital media solutions, strategies, and recommendations.
- **Center for Diversity:** leadership, outreach, policy and programming support for the campus-wide diversity initiatives associated with faculty, postdoctoral scholars, students and staff.
- **Counseling Center:** free for all students, regardless of insurance plan.
- Dean's Office, Undergraduates:





Much more at: *per.colorado.edu noah.finkelstein@colorado.edu*